

Chapter 1

Library LNMItpred

LNMItpred.v Version 2.7 January 2010 does not need impredicative Set, runs under V8.2, tested with version 8.2pl1

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provides basic definitions and the predicative specification of LNMItpred and infers general theorems from this specification.

this is code that no longer conforms to the description in the article "An induction principle for nested datatypes in intensional type theory" by the author, that appeared in the Journal of Functional Programming, since it now uses type classes instead of the record **EFct** and the type **pEFct**, as well as for **mon** and **NAT**

forms part of the code that comes with a submission to the journal Science of Computer Programming

Require Import Utf8.

the universe of all monotypes:

Definition k0 := Set.

the type of all type transformations:

Definition k1 := k0 → k0.

the type of all rank-2 type transformations:

Definition k2 := k1 → k1.

polymorphic identity:

Definition id : ∀ (A: Set), A → A := fun A x ⇒ x.

Implicit Arguments id [[A]].

composition:

Definition comp (A B C: Type)(g: B → C)(f: A → B) : A → C := fun x ⇒ g (f x).

comp is displayed as the infix \circ .

standard notion of less than on type transformations, in Type and not in Set that would require impredicative Set

Definition `sub_k1 (X Y: k1) : Type :=` $\forall A: \text{Set}, X A \rightarrow Y A$.

`sub_k1` is displayed as the infix \subseteq .

monotonicity:

Class `mon (X: k1) : Type :=`
`map: $\forall (A B: \text{Set}), (A \rightarrow B) \rightarrow X A \rightarrow X B$.`

extensionality:

Definition `ext (X: k1)‘{mX: mon X}: Prop :=`
 $\forall (A B: \text{Set})(f g: A \rightarrow B), (\forall a, f a = g a) \rightarrow \forall r, \text{map } f r = \text{map } g r$.

Require Import Setoid.

Require Import Morphisms.

Definition `ext' (X: k1)‘{mX: mon X}: Prop :=`
 $\forall (A B: \text{Set}), \text{Morphism } ((\text{@eq } A ==> \text{@eq } B) ==> \text{@eq } (X A) ==> \text{@eq } (X B))$
 $(\text{map}(A:= A)(B:= B))$.

Lemma `extEquiv (X: k1)‘{mX: mon X}: ext \leftrightarrow ext'`.

first functor law:

Definition `fct1 (X: k1)‘{mX: mon X}: Prop :=`
 $\forall (A: \text{Set})(x: X A), \text{map id } x = x$.

second functor law:

Definition `fct2 (X: k1)‘{mX: mon X}: Prop :=`
 $\forall (A B C: \text{Set})(f: A \rightarrow B)(g: B \rightarrow C)(x: X A), \text{map } (g \circ f) x = \text{map } g (\text{map } f x)$.

pack up the good properties of the approximation into the notion of an extensional functor:

Class `EFct (X: k1) := {m: > mon X; e: ext; f1: fct1; f2: fct2}`.

preservation of extensional functors:

Class `pEFct (F: k2) := pres:> $\forall (X: k1), \text{EFct } X \rightarrow \text{EFct } (F X)$` .

:> was suggested to me by Matthieu Sozeau on November 17, 2008

we show some closure properties of `pEFct`, depending on such properties for `EFct`

Instance `moncomp ‘{X: k1, mX: mon X, Y: k1, mY: mon Y}: mon (fun A => X(Y A))`.

closure under composition:

Instance `compEFct ‘{X: k1, EFct X, Y: k1, EFct Y} : EFct (fun A => X(Y A)):= {m:= moncomp(mX:= map)(mY:= map)}`.

Instance `comppEFct ‘{F: k2, pEFct F, G: k2, pEFct G}: pEFct (fun X A => F X (G X A))`.

This may now be used to prove that truly nested examples are instances of the theorems to come.

closure under sums:

```
Instance sumEFct '{X: k1, EFct X, Y: k1, EFct Y}: EFct (fun A => X A + Y A)%type:=
{m:=(fun A B f x => match x with
| inl y => inl _ (map f y)
| inr y => inr _ (map f y)
end): mon (fun A : Set => (X A + Y A)%type)}.
```

```
Instance sumpEFct '{F: k2, pEFct F, G: k2, pEFct G}: pEFct (fun X A => F X A + G X A)%type.
```

closure under products:

```
Instance prodEFct '{X: k1, EFct X, Y: k1, EFct Y}: EFct (fun A => X A × Y A)%type:=
{m:=(fun A B f x => match x with
(x1, x2) => (map f x1, map f x2) end): mon(fun A => X A × Y A)%type}.
```

```
Instance prodpEFct '{F: k2, pEFct F, G: k2, pEFct G}: pEFct (fun X A => F X A × G X A)%type.
```

the identity in k2 preserves extensional functors:

```
Instance idpEFct: pEFct (fun X => X).
```

a variant for the eta-expanded identity:

```
Instance idpEFct_eta: pEFct (fun X A => X A).
```

Is there any possibility to avoid the destruct command?

the identity in k1 "is" an extensional functor:

```
Instance idEFct: EFct (fun A => A) := {m:= fun A B (f: A → B)(x: A) => f x}.
```

constants in k2:

```
Instance constpEFct '{X: k1, EFct X}: pEFct (fun _ => X).
```

constants in k1:

```
Instance constEFct(C: Set): EFct (fun _ => C) := {m:= fun A B (f: A → B)(x: C) => x}.
```

the option type:

```
Instance optionEFct: EFct (fun A: Set => option A) := {m:= option_map}.
```

Defined.

natural transformations from (X, mX) to (Y, mY) :

```
Class NAT(X Y: k1)(j: X ⊆ Y){mX: mon X, mY: mon Y} : Prop :=
naturality: ∀ (A B: Set)(f: A → B)(t: X A), j B (map f t) = map f (j A t).
```

a notion that plays a role in the uniqueness theorem for Mlt:

```
Definition polyExtsub (X1 X2 Y1 Y2: k1)(t: X1 ⊆ X2 → Y1 ⊆ Y2) : Prop :=
```

$\forall(f g: X_1 \subseteq X_2)(A: \text{Set})(y: Y_1 A),$
 $(\forall(A: \text{Set})(x: X_1 A), f A x = g A x) \rightarrow t f A y = t g A y.$

Definition $\text{polyExtsub}'(X_1 X_2 Y_1 Y_2: \text{k1}): (X_1 \subseteq X_2 \rightarrow Y_1 \subseteq Y_2) \rightarrow \text{Prop} :=$

Morphism (forall_relation (fun $A: \text{Set} \Rightarrow @\text{eq}(X_1 A) ==> @\text{eq}(X_2 A)) ==>$
forall_relation (fun $A: \text{Set} \Rightarrow @\text{eq}(Y_1 A) ==> @\text{eq}(Y_2 A))).$

Lemma $\text{polyExtsubEquiv}(X_1 X_2 Y_1 Y_2: \text{k1})(t: X_1 \subseteq X_2 \rightarrow Y_1 \subseteq Y_2):$
 $\text{polyExtsub } t \leftrightarrow \text{polyExtsub}' t.$

a notion of monotonicity that is needed for "canonization": relativized basic monotonicity of rank 2

Definition $\text{mon2br}(F: \text{k2}) := \forall(X Y: \text{k1}), \text{mon } Y \rightarrow X \subseteq Y \rightarrow F X \subseteq F Y.$

the predicative specification of *LNMIt*:

Module Type LNMIT_TYPE.

Parameter $F: \text{k2}.$

Instance $\text{FpEFct}: \text{pEFct } F.$

Parameter $\mu_0: \text{k1}.$

Definition $\mu: \text{k1} := \text{fun } A \Rightarrow \mu_0 A.$

the introduction of μ_0 is a little twist that is mentioned in the paper, but only in a footnote and not relevant there

Instance $\text{mapmu2}: \text{mon } \mu.$ **Definition** $\text{MltType}: \text{Type} :=$

$\forall G: \text{k1}, (\forall X: \text{k1}, X \subseteq G \rightarrow F X \subseteq G) \rightarrow \mu \subseteq G.$

Parameter $\text{Mlt0}: \text{MltType}.$ we need just its eta-expansion Mlt

Definition $\text{Mlt}: \text{MltType} := \text{fun } G s A t \Rightarrow \text{Mlt0 } s t.$

Definition $\text{InType}: \text{Type} :=$

$\forall(X: \text{k1})(ef: \text{EFct } X)(j: X \subseteq \mu), \text{NAT } j \rightarrow F X \subseteq \mu.$

Parameter $\text{In}: \text{InType}.$

Axiom $\text{mapmu2Red}: \forall(A: \text{Set})(X: \text{k1})(ef: \text{EFct } X)(j: X \subseteq \mu)$

$(n: \text{NAT } j)(t: F X A)(B: \text{Set})(f: A \rightarrow B),$

$\text{mapmu2 } f (\text{In } ef n t) = \text{In } ef n (\text{m } f t).$

Axiom $\text{MltRed}: \forall(G: \text{k1})$

$(s: \forall X: \text{k1}, X \subseteq G \rightarrow F X \subseteq G)(X: \text{k1})(ef: \text{EFct } X)(j: X \subseteq \mu)$

$(n: \text{NAT } j)(A: \text{Set})(t: F X A),$

$\text{Mlt } s (\text{In } ef n t) = s X (\text{fun } A \Rightarrow (\text{Mlt } s (A := A)) \circ (j A)) A t.$

Definition $\text{mu2IndType}: \text{Prop} :=$

$\forall(P: (\forall A: \text{Set}, \mu A \rightarrow \text{Prop})),$

$(\forall(X: \text{k1})(ef: \text{EFct } X)(j: X \subseteq \mu)(n: \text{NAT } j),$

$(\forall(A: \text{Set})(x: X A), P A (j A x)) \rightarrow$

$\forall(A: \text{Set})(t: F X A), P A (\text{In } ef n t)) \rightarrow$

$\forall(A: \text{Set})(r: \mu A), P A r.$

Axiom $\text{mu2Ind}: \text{mu2IndType}.$

End LNMIT_TYPE.

prove theorems about the components of LNMIT_TYPE

Module LNMITDEF($M:\text{LNMIT_Type}$).

Export M .

we complete `mapmu2` to an extensional functor; the order of the following three lemmas does not play a role; they only make shallow use of the induction principle in that they do not use the induction hypothesis

Lemma `mapmu2Ext`: $\text{ext}(mX := \text{mapmu2})$.

Lemma `mapmu2Id`: $\text{fct1}(mX := \text{mapmu2})$.

Lemma `mapmu2Comp`: $\text{fct2}(mX := \text{mapmu2})$.

Instance `mapmu2EFct`: $\text{EFct } \mu := \{\text{m} := \text{mapmu2}\}$.

Instance `mapmu2NAT`($X: \text{k1})(ef: \text{EFct } X)(j: X \subseteq \mu)(n: \text{NAT } j): \text{NAT}$ ($\text{In } ef \ n$).

the canonical constructor for μ uses μ as its own approximation:

Definition `InCan` : $F \mu \subseteq \mu :=$

$\text{fun } A \ t \Rightarrow \text{In } \text{mapmu2EFct } (j := \text{fun } _x \Rightarrow x)(\text{fun } _{x \in \mu} \Rightarrow \text{refl_equal } _x) \ t$.

the behaviour for canonical elements:

Lemma `MltRedCan` : $\forall (G: \text{k1})(s: \forall X: \text{k1}, X \subseteq G \rightarrow F X \subseteq G)$

$(A: \text{Set})(t: F \mu A), \text{Mlt } s (\text{InCan } t) = s \mu (\text{Mlt } s) A \ t$.

Lemma `mapmu2RedCan` : $\forall (A: \text{Set})(B: \text{Set})(f: A \rightarrow B)(t: F \mu A),$

$\text{mapmu2 } f (\text{InCan } t) = \text{InCan}(\text{m } f \ t)$.

Instance `mapmu2NATCan`: $\text{NAT } \text{InCan}$.

`MltRed` already characterizes `Mlt s` under an extensionality assumption for s :

Theorem `MltUni`: $\forall (G: \text{k1})(s: \forall X: \text{k1}, X \subseteq G \rightarrow F X \subseteq G)(h: \mu \subseteq G),$

$(\forall (X: \text{k1}), \text{polyExtsub}(s X)) \rightarrow$

$(\forall (X: \text{k1})(ef: \text{EFct } X)(j: X \subseteq \mu)(n: \text{NAT } j)(A: \text{Set})(t: F X \ A),$

$h \ A (\text{In } ef \ n \ t) = s \ X (\text{fun } A \Rightarrow (h \ A) \circ (j \ A)) \ A \ t) \rightarrow$

$\forall (A: \text{Set})(r: \mu A), h \ A \ r = \text{Mlt } s \ r$.

provide naturality of `Mlt s`:

Section `MltNAT`.

Variable $G: \text{k1}$.

Variable $mG: \text{mon } G$.

Variable $s: \forall X: \text{k1}, X \subseteq G \rightarrow F X \subseteq G$.

Variable `smGpNAT` : $\forall (X: \text{k1})(it: X \subseteq G)(ef: \text{EFct } X),$

$\text{NAT } it \rightarrow \text{NAT } (s \ it)$.

Instance `MltNAT` : $\text{NAT } (\text{Mlt } s)$.

End `MltNAT`.

Section Canonization.

Variable $Fmon2br$: mon2br F .

Definition canonize: $\mu \subseteq \mu :=$
 $\text{Mlt} (\text{fun } (X : k1) (it : X \subseteq \mu) (A : \text{Set}) (t : F X A) \Rightarrow$
 $\quad \text{InCan} (Fmon2br mapmu2 it t)).$

Definition OutCan: $\mu \subseteq F \mu :=$
 $\text{Mlt} (\text{fun } X it A t \Rightarrow Fmon2br mapmu2 (\text{fun } A \Rightarrow \text{InCan}(A := A) \circ (it A)) t).$

End Canonization.

End LNMITDEF.

Chapter 2

Library LNGMItPred

LNGMItPred.v Version 1.5 March 2009 does not need impredicative Set, runs under V8.2, later tested with version 8.2pl1

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We provide basic definitions and the predicative specification of LNGMIt as an extension of LNMIIt and infer general theorems from this specification.

forms part of the code that comes with a submission to the journal Science of Computer Programming

Require Import LNMIItPred.

Require Import Utf8.

refined notion of less than on type transformations:

Definition less_k1 ($X\ G$: k1): Type :=
 $\forall (A\ B:\text{Set}), (A \rightarrow B) \rightarrow X\ A \rightarrow G\ B.$
less_k1 is displayed as the infix \leq .

Class mon (X : k1): Type := map: $X \leq X$.

Lemma monOk: mon = LNMIItPred.mon.

generalized refined containment:

Definition gless_k1 ($X\ H\ G$: k1): Type :=
 $\forall (A\ B:\text{Set}), (A \rightarrow H\ B) \rightarrow X\ A \rightarrow G\ B.$

Notation " $X <_{\{-\} H \{-\}} G$ " := (gless_k1 $X\ H\ G$) (at level 60).

Definition ext ($X\ G$: k1)(h : $X \leq G$): Prop :=
 $\forall (A\ B:\text{Set})(f\ g: A \rightarrow B),$
 $(\forall a, f\ a = g\ a) \rightarrow \forall r, h\ _{-}\ _{-}\ f\ r = h\ _{-}\ _{-}\ g\ r.$

Lemma extOk (X : k1)`{m: mon X}: ext m = LNMIItPred.ext(mX:= m).

Definition gext ($H\ X\ G$: k1)(h : $X <_{\{-\} H \{-\}} G$): Prop :=
 $\forall (A\ B:\text{Set})(f\ g: A \rightarrow H\ B),$

$$(\forall a, f a = g a) \rightarrow \forall r, h _ _ f r = h _ _ g r.$$

Lemma `gextIsGen`: $\forall (X G: \text{k1})(h: X \leq G),$
 $\text{ext } h = \text{gext } h.$

Require Import Setoid.

Require Import Morphisms.

Definition `gext'` ($H X G: \text{k1}$) $(h: X <_{\{-\text{H}\}} G)$: Prop :=
 $\forall (A B: \text{Set}), \text{Morphism } ((@\text{eq } A ==> @\text{eq } (H B)) ==> @\text{eq } (X A) ==> @\text{eq } (G B)) (h A B).$

Lemma `gextEquiv` ($H X G: \text{k1}$) $(h: X <_{\{-\text{H}\}} G)$: $\text{gext } h \leftrightarrow \text{gext}' h.$

This was essentially the same proof as that for *LNMItPred.extEquiv*.

the first part of the naturality law for inhabitants of $X \leq G$ (Definition 1/2 in the paper):

Definition `nat1` ($X G: \text{k1}$) $(mG: \text{mon } G)(h: X \leq G)$: Prop :=
 $\forall (A B C: \text{Set})(f: A \rightarrow B)(g: B \rightarrow C)(x: X A),$
 $mG B C g (h A B f x) = h A C (g \circ f) x.$

the same generalized to monotone H :

Definition `gnat1` ($X H G: \text{k1}$) $(mH: \text{mon } H)(mG: \text{mon } G)(h: X <_{\{-\text{H}\}} G)$: Prop :=
 $\forall (A B C: \text{Set})(f: A \rightarrow H B)(g: B \rightarrow C)(x: X A),$
 $mG B C g (h A B f x) = h A C ((mH _ _ g) \circ f) x.$

Definition `monId`: mon (fun $A \Rightarrow A$) := fun $A B f x \Rightarrow f x.$

Lemma `gnat1IsGen`: $\forall (X G: \text{k1})(mG: \text{mon } G)(h: X \leq G),$
 $\text{nat1 } mG h = \text{gnat1 } \text{monId } mG h.$

the second part of the naturality law for inhabitants of $X \leq G$ (Definition 1/2 in the paper):

Definition `nat2` ($X G: \text{k1}$) $(mX: \text{mon } X)(h: X \leq G)$: Prop :=
 $\forall (A B C: \text{Set})(f: A \rightarrow B)(g: B \rightarrow C)(x: X A),$
 $h B C g (mX A B f x) = h A C (g \circ f) x.$

Definition `gnat2` ($X H G: \text{k1}$) $(mX: \text{mon } X)(h: X <_{\{-\text{H}\}} G)$: Prop :=
 $\forall (A B C: \text{Set})(f: A \rightarrow B)(g: B \rightarrow H C)(x: X A),$
 $h B C g (mX A B f x) = h A C (g \circ f) x.$

Lemma `gnat2IsGen`: $\forall (X G: \text{k1})(mX: \text{mon } X)(h: X \leq G),$
 $\text{nat2 } mX h = \text{gnat2 } mX h.$

Definition `lessTosub` ($X G: \text{k1}$): $X \leq G \rightarrow X \subseteq G.$

Lemma `nat1nat2NAT` ($X G: \text{k1}$) $(mX: \text{mon } X)(mG: \text{mon } G)(h: X \leq G)$:
 $\text{nat1 } mG h \rightarrow \text{nat2 } mX h \rightarrow \text{NAT}(mX := mX)(mY := mG) (\text{lessTosub } h).$

Definition `glessTosub` ($X H G: \text{k1}$): $X <_{\{-\text{H}\}} G \rightarrow (\text{fun } A \Rightarrow X(H A)) \subseteq G.$

Lemma `glessTosubIsGen`: $\forall (X G: \text{k1})(h: X \leq G)(A: \text{Set})(t: X A),$
 $\text{glessTosub } h A t = \text{lessTosub } h A t.$

The following is Lemma 2 in the paper.

Lemma `gnat1gnat2NAT`($X H G: k1$)($mX: \mathbf{mon} X$)($mH: \mathbf{mon} H$)($mG: \mathbf{mon} G$)($h: X <_{\{-\{H\}\}} G$): `gnat1 mH mG h → gnat2 mX h → NAT` (`glessTosub h`) ($mX := \mathbf{moncomp}(mX := mX)$)($mY := mH$))($mY := mG$).

through instantiation, we can provide an alternative proof to `nat1nat2NAT`:

Instance `nat1nat2NAT_ALT`: $\forall (X G: k1)(mX: \mathbf{mon} X)(mG: \mathbf{mon} G)(h: X \leq G)$,
 $\mathbf{nat1} mG h \rightarrow \mathbf{nat2} mX h \rightarrow \mathbf{NAT}(mX := mX)(mY := mG)$ (`lessTosub h`).

As a digression, we develop a partial inverse of `gnat1gnat2NAT` (extending Mac Lane's exercise):

Definition `subTogless` ($X H G: k1$)($mX: \mathbf{mon} X$): $(\mathbf{fun} A \Rightarrow X(H A)) \subseteq G \rightarrow X <_{\{-\{H\}\}} G$.

Defined.

Lemma `subToglessTosub` ($X H G: k1$)($mX: \mathbf{mon} X$)($f1X: \mathbf{fct1}(mX := mX)$)($g: (\mathbf{fun} A \Rightarrow X(H A)) \subseteq G$)($A: \mathbf{Set}$)($x: X(H A)$): `glessTosub (subTogless H mX g) A x = g A x`.

Lemma `NATgnat1`($X H G: k1$)($mX: \mathbf{mon} X$)($f2X: \mathbf{fct2}(mX := mX)$)($mH: \mathbf{mon} H$)($mG: \mathbf{mon} G$)($g: (\mathbf{fun} A \Rightarrow X(H A)) \subseteq G$): $\mathbf{NAT} g (mX := \mathbf{moncomp}(mX := mX)(mY := mH))(mY := mG) \rightarrow \mathbf{gnat1} mH mG (\mathbf{subTogless} H mX g)$.

Lemma `subToglessgnat2`($X H G: k1$)($mX: \mathbf{mon} X$)($f2X: \mathbf{fct2}(mX := mX)$)($g: (\mathbf{fun} A \Rightarrow X(H A)) \subseteq G$): $\mathbf{gnat2} mX (\mathbf{subTogless} H mX g)$.

because of the previous lemma, the condition on `gnat2` seems unavoidable in the following:

Lemma `glessTosubTogless` ($X H G: k1$)($mX: \mathbf{mon} X$)($h: X <_{\{-\{H\}\}} G$)($A B: \mathbf{Set}$)($f: A \rightarrow H B$)($x: X A$): $\mathbf{gext} h \rightarrow \mathbf{gnat2} mX h \rightarrow \mathbf{subTogless} H mX (\mathbf{glessTosub} h) B f x = h A B f x$.
end of digression

Definition `polyExtless` ($X_1 X_2 Y_1 Y_2: k1$)($t: X_1 \leq X_2 \rightarrow Y_1 \leq Y_2$): $\mathbf{Prop} :=$
 $\forall (g h: X_1 \leq X_2)(A B: \mathbf{Set})(f: A \rightarrow B)(y: Y_1 A)$,
 $(\forall (A B: \mathbf{Set})(f: A \rightarrow B)(x: X_1 A), g A B f x = h A B f x) \rightarrow$
 $t g A B f y = t h A B f y$.

Definition `polyExtgless` ($H X_1 X_2 Y_1 Y_2: k1$)($t: X_1 <_{\{-\{H\}\}} X_2 \rightarrow Y_1 <_{\{-\{H\}\}} Y_2$): $\mathbf{Prop} :=$
 $\forall (g h: X_1 <_{\{-\{H\}\}} X_2)(A B: \mathbf{Set})(f: A \rightarrow H B)(y: Y_1 A)$,
 $(\forall (A B: \mathbf{Set})(f: A \rightarrow H B)(x: X_1 A), g A B f x = h A B f x) \rightarrow$
 $t g A B f y = t h A B f y$.

Lemma `polyExtlessOk` ($X_1 X_2 Y_1 Y_2: k1$)($t: X_1 \leq X_2 \rightarrow Y_1 \leq Y_2$):
 $\mathbf{polyExtgless} t = \mathbf{polyExtless} t$.

Definition `polyExtgless'` ($H X_1 X_2 Y_1 Y_2: k1$): $(X_1 <_{\{-\{H\}\}} X_2 \rightarrow Y_1 <_{\{-\{H\}\}} Y_2) \rightarrow \mathbf{Prop} :=$
Morphism ((`forall_relation` ($\mathbf{fun} A: \mathbf{Set} \Rightarrow \mathbf{forall_relation}$ ($\mathbf{fun} B: \mathbf{Set} \Rightarrow (@\mathbf{eq} (A \rightarrow H B))$))
 $\implies @\mathbf{eq} (X_1 A) \implies @\mathbf{eq} (X_2 B))) \implies (\mathbf{forall_relation} (\mathbf{fun} A: \mathbf{Set} \Rightarrow \mathbf{forall_relation}$ ($\mathbf{fun} B: \mathbf{Set} \Rightarrow (@\mathbf{eq} (A \rightarrow H B)) \implies @\mathbf{eq} (Y_1 A) \implies @\mathbf{eq} (Y_2 B))))$)%signature.

```

Lemma polyExtglessEquiv (H X1 X2 Y1 Y2: k1)(t: X1 <_{H} X2 → Y1 <_{H} Y2):
polyExtgless t ↔ polyExtgless' t.

```

for curiosity, we define a variant of `polyExtgless`

```

Definition polyExtgless'' (H X1 X2 Y1 Y2: k1): (X1 <_{H} X2 → Y1 <_{H} Y2) → Prop
:= Morphism ((forall_relation (fun A: Set ⇒ forall_relation (fun B: Set ⇒ (@eq A ==>
@eq (H B)) ==> @eq (X1 A) ==> @eq (X2 B)))) ==> (forall_relation (fun A: Set ⇒
forall_relation (fun B: Set ⇒ (@eq A ==> @eq (H B)) ==> @eq (Y1 A) ==> @eq (Y2
B)))))%signature.

```

Module Type LNGMIT_TYPE.

Declare Module Import LNM: LNMIT_TYPE.

Definition F:= F.

Definition FpEFct:= FpEFct.

Definition μ₀ := μ₀.

Definition μ := μ.

Definition mapmu2 := mapmu2.

Definition MltType:= MltType.

Definition Mlt0 := Mlt0.

Definition Mlt := Mlt.

Definition InType := InType.

Definition In := In.

Definition mapmu2Red:= mapmu2Red.

Definition MltRed:= MltRed.

Definition mu2IndType:= mu2IndType.

Definition mu2Ind:= mu2Ind.

Parameter GMlt0: ∀ (H G: k1), (∀ X: k1, X <_{H} G → F X <_{H} G) → μ <_{H} G.

Definition GMlt: ∀ (H G: k1), (∀ X: k1, X <_{H} G → F X <_{H} G) → μ <_{H} G
:= fun (H G: k1) s (A: Set) B f t ⇒ GMlt0(H:= H)(G:= G)(A:= A) s B f t.

Axiom GMltRed : ∀ (H G: k1)(s: ∀ X: k1, X <_{H} G → F X <_{H} G)(A B: Set)(f: A → H B)(X: k1)(ef: EFct X)(j: X ⊆ μ)(n: NAT j)(t: F X A),

$$\text{GMlt } s _ f (\ln ef (j:= j) n t) = \\ s X (\text{fun } (A B: \text{Set}) (f: A \rightarrow H B) \Rightarrow (\text{GMlt } s _ f) \circ (j A)) A B f t.$$

End LNGMIT_TYPE.

prove theorems about the components of LNGMIT_TYPE

Module LNGMITDEF(M: LNGMIT_TYPE).

Import M.

Module LNMITDEF := LNMITDEF M.

Import LNMITDef.

Section GMlt.

Variables H G: k1.

Variable $s: \forall X: k1, X <_{\{H\}} G \rightarrow F X <_{\{H\}} G$.

the behaviour for canonical elements:

Lemma GMltRedCan : $\forall (A B: Set)(f: A \rightarrow H B)(t: F \mu A),$

$$GMlt s _ f (\lnCan t) = s (GMlt s) f t.$$

The following is Theorem 4 in the paper.

Lemma GMltUni: $\forall (h: \mu <_{\{H\}} G),$

$$(\forall (X: k1), \text{polyExtgless}(s(X := X))) \rightarrow$$

$$(\forall (A B: Set)(f: A \rightarrow H B)(X: k1)(ef: \mathbf{EFct} X)(j: X \subseteq \mu)(n: \mathbf{NAT} j)(t: F X A),$$

$$h A B f (\ln ef n t) =$$

$$s (\text{fun } (A B: Set) (f: A \rightarrow H B) \Rightarrow (h A B f) \circ (j A)) f t \rightarrow$$

$$\forall (A B: Set)(f: A \rightarrow H B)(r: \mu A), h A B f r = GMlt s _ f r.$$

For the remainder, we require the following:

Hypothesis spExt : $\forall (X: k1)(h: X <_{\{H\}} G), \text{gext } h \rightarrow \text{gext } (s h)$.

Section GMltsExt.

$GMlt s$ only depends on the extension of its functional argument

The following is Theorem 5 in the paper.

Lemma GMltsExt: $\text{gext } (GMlt s)$.

End GMltsExt.

for curiosity, we can now prove the variant of $GMltUni$ that uses “ $\text{polyExtgless}''$ instead of polyExtgless , but this is under the extra assumption $spExt$ of the enclosing section $GMlt$

Lemma GMltUni'': $\forall (h: \mu <_{\{H\}} G),$

$$(\forall (X: k1), \text{polyExtgless}''(s(X := X))) \rightarrow$$

$$(\forall (A B: Set)(f: A \rightarrow H B)(X: k1)(ef: \mathbf{EFct} X)(j: X \subseteq \mu)(n: \mathbf{NAT} j)(t: F X A),$$

$$h A B f (\ln ef n t) =$$

$$s (\text{fun } (A B: Set) (f: A \rightarrow H B) \Rightarrow (h A B f) \circ (j A)) f t \rightarrow$$

$$\forall (A B: Set)(f: A \rightarrow H B)(r: \mu A), h A B f r = GMlt s _ f r.$$

Section GMltsNat1.

Variable $mH: \mathbf{mon} H$.

Variable $mG: \mathbf{mon} G$.

Hypothesis smGpgnat1: $\forall (X: k1)(h: X <_{\{H\}} G), \mathbf{EFct} X \rightarrow \text{gext } h \rightarrow \text{gnat1 } mH \ mG \ h \rightarrow \text{gnat1 } mH \ mG \ (s h)$.

The following is Theorem 6 in the paper.

Lemma GMltsNat1: $\text{gnat1 } mH \ mG \ (GMlt s)$.

End GMltsNat1.

Section GMltsNat2.

Hypothesis smGpgnat2: $\forall (X: k1)(h: X <_{\{H\}} G)(ef: \mathbf{EFct} X), \text{gext } h \rightarrow \text{gnat2 } m \ h \rightarrow \text{gnat2 } m \ (s h)$.

this is the part that needs the built-in naturality of *LNGMIt*

The following is Theorem 7 in the paper.

Lemma GMItNat2: `gnat2 mapmu2 (GMIt s)`.

now we may use the assertion

`End GMItNat2.`

`Section GMItNat.`

`Variable mH: mon H.`

`Variable mG: mon G.`

`Hypothesis smGpgnat1: ∀ (X: k1)(h: X <_ {H} G), EFct X → gext h → gnat1 mH mG h → gnat1 mH mG (s h).`

`Hypothesis smGpgnat2: ∀ (X: k1)(h: X <_ {H} G)(ef: EFct X), gext h → gnat2 m h → gnat2 m (s h).`

Lemma GMItNat: `NAT (glessTosub (GMIt s)) (mX:= moncomp(mX:= mapmu2)(mY:= mH))(mY:= mG)`.

`End GMItNat.`

`End GMIt.`

`End LNGMITDEF.`

Chapter 3

Library LamFlatPred

LamFlatPred.v Version 1.1 January 2010 does not need impredicative Set, runs under V8.2, tested with version 8.2pl1

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forms part of the code that comes with a submission to the journal Science of Computer Programming

```
Require Import LNMItpred.
Require Import LNGMItPred.
Require Import List.
Require Import Utf8.

Open Scope type_scope.

Definition LambF(X: k1)(A: Set) := A + X A × X A + X (option A).

Instance lambFpEFct : pEFct LambF.

Definition LamF(X: k1)(A: Set) := LambF X A + X (X A).

Instance lamFpEFct_auto : pEFct LamF.
```

The following lemmas loosely correspond to the definition of the term of type $\forall (X:k1), \text{mon } X \rightarrow \text{mon}(\text{LamF } X)$ at the end of Section 4.1. Note the use of idpEFct and idpEFct_eta in the recursive descriptions.

```
Definition pvar (X: k1)(A: Set)(a: A): LamF X A :=
  inl (X (X A)) (inl (X (option A)) (inl (X A × X A) a)).

Lemma pvar_m_auto (X: k1)(ef: EFct X)(A B: Set)(f: A → B)(a: A):
  m (EFct:= lamFpEFct_auto ef) f (pvar X a) = pvar X (f a).

Definition papp (X: k1)(A: Set)(t1 t2: X A): LamF X A :=
  inl (X (X A)) (inl (X (option A)) (inr A (t1, t2))).

Lemma papp_m_auto (X: k1)(ef: EFct X)(A B: Set)(f: A → B)(t1 t2: X A):
```

$\mathbf{m} (\text{EFct} := \text{lamFpEFct_auto } ef) f (\text{papp } X A t_1 t_2) = \text{papp } X B (\mathbf{m} (\text{EFct} := \text{idpEFct_eta } ef) f t_1) (\mathbf{m} (\text{EFct} := \text{idpEFct_eta } ef) f t_2).$

Definition `pabs` ($X: \mathbf{k1}$) $(A: \mathbf{Set})$ $(r: X (\mathbf{option} A))$: $\mathbf{LamF} X A :=$
 $\mathbf{inl} (X (X A)) (\mathbf{inr} (A + X A \times X A) r)$.

Lemma `pabsE_m_auto` ($X: \mathbf{k1}$) $(ef: \mathbf{EFct} X)$ $(A B: \mathbf{Set})$ $(f: A \rightarrow B)$ $(r: X (\mathbf{option} A))$:
 $\mathbf{m} (\text{EFct} := \text{lamFpEFct_auto } ef) f (\mathbf{pabs} X A r) = \mathbf{pabs} X B (\mathbf{m} (\text{EFct} := \text{idpEFct } ef) (\mathbf{option_map} f) r)$.

Definition `pflat` ($X: \mathbf{k1}$) $(A: \mathbf{Set})$ $(ee: X (X A))$: $\mathbf{LamF} X A :=$
 $\mathbf{inr} (\mathbf{LambF} X A) ee$.

Lemma `pflat_m_auto` ($X: \mathbf{k1}$) $(ef: \mathbf{EFct} X)$ $(A B: \mathbf{Set})$ $(f: A \rightarrow B)$ $(ee: X (X A))$:
 $\mathbf{m} (\text{EFct} := \text{lamFpEFct_auto } ef) f (\mathbf{pflat} X A ee) = \mathbf{pflat} X B (\mathbf{m} (\text{EFct} := \text{idpEFct } ef) (\mathbf{m} (\text{EFct} := \text{idpEFct_eta } ef) f) ee)$.

the by-hand definition at the end of Section 4.1 in the paper:

Definition `lamFpEFct_m` ($X: \mathbf{k1}$) $(mX: \mathbf{mon} X)$: $\mathbf{mon} (\mathbf{LamF} X)$.

Instance `lamFpEFct`: $\mathbf{pEFct} \mathbf{LamF}$.

the obvious consequence

Lemma `lamFpEFctlamFpEFct_m` ($X: \mathbf{k1}$) $(ef: \mathbf{EFct} X)$: $@\mathbf{m} _ (\mathbf{lamFpEFct } ef) = \mathbf{lamFpEFct_m} (@\mathbf{m} _ ef)$.

some preparations for lists

`listk1` is needed because of sort polymorphism

Definition `listk1` ($A: \mathbf{Set}$) : $\mathbf{Set} := \mathbf{list} A$.

Fixpoint `filterSome` ($A: \mathbf{Type}$) $(l: \mathbf{list} (\mathbf{option} A))$ {
 $\mathbf{struct} l$ } : $\mathbf{list} A :=$
 $\mathbf{match} l \mathbf{with} \mathbf{nil} \Rightarrow \mathbf{nil}$
 $\quad | \mathbf{None} :: rest \Rightarrow \mathbf{filterSome} rest$
 $\quad | \mathbf{Some} a :: rest \Rightarrow a :: \mathbf{filterSome} rest$
 \mathbf{end} .

Lemma `filterSomeIn` ($A: \mathbf{Type}$) $(a: A)$ $(l: \mathbf{list} (\mathbf{option} A))$:

$\mathbf{In} (\mathbf{Some} a) l \leftrightarrow \mathbf{In} a (\mathbf{filterSome} l)$.

Instance `listmap`: $\mathbf{LNMItpred.mon} \mathbf{listk1}$.

Definition `optionk1` ($A: \mathbf{Set}$) : $\mathbf{Set} := \mathbf{option} A$.

Instance `filterSomeNAT`: $\mathbf{NAT} \mathbf{filterSome} (mX := \mathbf{moncomp}(X := \mathbf{listk1})(Y := \mathbf{optionk1})(mX := \mathbf{map})(mY := \mathbf{option_map}))(Y := \mathbf{listk1})$.

Lemma `flat_mapext` ($A B: \mathbf{Set}$) $(f g: A \rightarrow \mathbf{list} B)$ $(l: \mathbf{list} A)$:
 $(\forall a, f a = g a) \rightarrow \mathbf{flat_map} f l = \mathbf{flat_map} g l$.

interaction of `flat_map` with `map`

Lemma `flat_mapLaw1` ($A B C: \mathbf{Set}$) $(f: A \rightarrow B)$ $(g: B \rightarrow \mathbf{list} C)$ $(l: \mathbf{list} A)$:

`flat_map g (map f l) = flat_map (g o f) l.`

an instructive instance, but not needed in the sequel:

`Lemma flat_mapLaw1Inst (A B: Set)(f: A → list B)(l: list A):
flat_map (id(A:= list B)) (map f l) = flat_map f l.`

`Lemma flat_mapLaw2 (A B C : Set) (f : A → list B) (g : B → C) (l : list A):
map g (flat_map f l) = flat_map (map g o f) l.`

we use `moncomp` from *LNMItPred.v*

`Lemma flat_mapNAT (X: k1)(mX: mon X)(h: X ⊆ listk1)(n: NAT h (mX:= mX) (mY:= map)): NAT(Y:= listk1) (fun A ⇒ flat_map (h A)) (mX:= moncomp(X:= listk1)(mX:= map)(mY:= mX)) (mY:= map).`

`Module Type LAM := LNGMIT_TYPE with Definition LNM.F:= LamF
with Definition LNM.FpEFct := lamFpEFct.`

`Module LAMFLAT (LamBase: LAM).`

`Module LAMM := LNGMITDEF LAMBASE.`

`Module LAMMMITDEF := LAMM.LNMITDEF.`

`Definition Lam : k1 := LamBase.mu2.`

the canonical datatype constructors

`Definition var (A: Set)(a: A): Lam A :=
LamMMItDef.InCan (inl _ (inl _ (inl _ a))).`

`Definition app (A: Set)(t1 t2: Lam A): Lam A :=
LamMMItDef.InCan (inl _ (inl _ (inr _ (t1, t2)))).`

there is a conflict with *List.app*!

`Lemma app_cong(A: Set)(s1 s2 t1 t2: Lam A):
s1 = t1 → s2 = t2 → app s1 s2 = app t1 t2.`

`Definition abs (A: Set)(r: Lam(option A)): Lam A := LamMMItDef.InCan (inl _ (inr _ r)).`

`Definition flat (A: Set)(ee: Lam(Lam A)): Lam A := LamMMItDef.InCan (inr _ ee).`

lists of free variables of lambda terms, just with plain Mendler iteration see Section 2.2 in the paper

`Definition FV : Lam ⊆ listk1.`

`Definition sFV := fun (X : k1) (it : X ⊆ listk1) (A : Set) (t : LamF X A) =>
match t with
| inl (inl (inl a)) => a :: nil
| inl (inl (inr (pair t1 t2))) => it A t1 ++ it A t2
| inl (inr r) => filterSome (it (option A) r)
| inr e => flat_map (it A) (it (X A) e)
end.`

Lemma `sFV_ok`: $\text{FV} = \text{LamBase.Mlt sFV}$.

Lemma `FV_var` ($A: \text{Set}$) $(a: A)$: $\text{FV}(\text{var } a) = a :: \text{nil}$.

Lemma `FV_app` ($A: \text{Set}$) $(t_1 t_2: \text{Lam } A)$: $\text{FV}(\text{app } t_1 t_2) = \text{FV } t_1 ++ \text{FV } t_2$.

Lemma `FV_abs` ($A: \text{Set}$) $(r: \text{Lam } (\text{option } A))$: $\text{FV}(\text{abs } r) = \text{filterSome } (\text{FV } r)$.

Lemma `FV_flat` ($A: \text{Set}$) $(ee: \text{Lam } (\text{Lam } A))$:

$\text{FV}(\text{flat } ee) = \text{flat_map } (\text{FV}(A := A)) (\text{FV } ee)$.

renaming, see Section 2.2 in the paper

Instance `lam : LNMItpred.mon Lam`.

behaviour of `lam` on canonical elements

Lemma `lam_var` ($A B: \text{Set}$) $(f: A \rightarrow B)(a: A)$: $\text{lam } f (\text{var } a) = \text{var } (f a)$.

Lemma `lam_app` ($A B: \text{Set}$) $(f: A \rightarrow B)(t_1 t_2: \text{Lam } A)$:

$\text{lam } f (\text{app } t_1 t_2) = \text{app } (\text{lam } f t_1)(\text{lam } f t_2)$.

Lemma `lam_abs` ($A B: \text{Set}$) $(f: A \rightarrow B)(r: \text{Lam } (\text{option } A))$:

$\text{lam } f (\text{abs } r) = \text{abs } (\text{lam } (\text{option_map } f) r)$.

Lemma `lam_flat` ($A B: \text{Set}$) $(f: A \rightarrow B)(ee: \text{Lam } (\text{Lam } A))$:

$\text{lam } f (\text{flat } ee) = \text{flat } (\text{lam } (\text{lam } f) ee)$.

The "interesting question" at the end of Section 2.2 of the paper:

Instance `FVNAT: NAT FV`.

how renaming works on the list of free variables:

Corollary `FV_ok` ($A B: \text{Set}$) $(f: A \rightarrow B)(t: \text{Lam } A)$: $\text{FV}(\text{lam } f t) = \text{map } f (\text{FV } t)$.

towards substitution, see Section 2.3 of the paper

Implicit Arguments `Some` $[[A]]$.

Definition `lift` ($A B: \text{Set}$) $(f: A \rightarrow \text{Lam } B)(x: \text{option } A)$: $\text{Lam}(\text{option } B) :=$

`match` x `with`

$| \text{None} \Rightarrow \text{var None}$

$| \text{Some } a \Rightarrow \text{lam Some } (f a)$

`end.`

Definition `subst` ($A B: \text{Set}$) $(f: A \rightarrow \text{Lam } B)(t: \text{Lam } A)$: $\text{Lam } B$.

Definition `s_subst` :=

`fun` ($X: \text{k1}$) ($it: X <_{\sim} \{ \text{Lam} \} \text{Lam}$) ($A B: \text{Set}$) ($f: A \rightarrow \text{Lam } B$)

$(t: \text{LamF } X A) \Rightarrow$

`match` t `with`

$| \text{inl } (\text{inl } (\text{inl } a)) \Rightarrow f a$

$| \text{inl } (\text{inl } (\text{inr } (\text{pair } t_1 t_2))) \Rightarrow \text{app } (it A B f t_1) (it A B f t_2)$

$| \text{inl } (\text{inr } r) \Rightarrow \text{abs } (it (\text{option } A) (\text{option } B) (\text{lift } f) r)$

$| \text{inr } ee \Rightarrow \text{flat } (it (X A) (\text{Lam } B) (\text{var } (A := \text{Lam } B) \circ it A B f) ee)$

end.

Lemma s_subst_ok : $\text{LamBase.GMlt } s_subst = subst$.

Lemma $subst_var$ ($A \ B$: Set)(f : $A \rightarrow \text{Lam } B$)(a : A):
 $subst f (\text{var } a) = f \ a$.

Lemma $substMonad1$ ($A \ B$: Set)(f : $A \rightarrow \text{Lam } B$)(a : A): $subst f (\text{var } a) = f \ a$.

Lemma $subst_app$ ($A \ B$: Set)(f : $A \rightarrow \text{Lam } B$)($t_1 \ t_2$: $\text{Lam } A$):
 $subst f (\text{app } t_1 \ t_2) = \text{app} (\text{subst } f \ t_1) (\text{subst } f \ t_2)$.

Lemma $subst_abs$ ($A \ B$: Set)(f : $A \rightarrow \text{Lam } B$)(r : $\text{Lam } (\text{option } A)$):
 $subst f (\text{abs } r) = \text{abs} (\text{subst } (\text{lift } f) \ r)$.

Lemma $subst_flat$ ($A \ B$: Set)(f : $A \rightarrow \text{Lam } B$)(ee : $\text{Lam}(\text{Lam } A)$):
 $subst f (\text{flat } ee) = \text{flat} (\text{subst } (\text{var } A := \text{Lam } B) \circ (\text{subst } f)) \ ee$.

we would have liked to see $\text{subst } f (\text{flat } ee) = \text{flat} (\text{lam } (\text{subst } f) \ ee)$, but this would need a full second monad law

the generic properties of renaming:

Lemma lamext : $\text{LNMItpred.ext}(mX := \text{lam})$.

Lemma lamfct1 : $\text{fct1}(mX := \text{lam})$.

Lemma lamfct2 : $\text{fct2}(mX := \text{lam})$.

show that the definition of subst qualifies for Theorem 5 in the paper

Lemma substpGext : $\forall (X : \text{k1}) (h : X <_{\{ \text{Lam} \}} \text{Lam})$, $\text{gext } h \rightarrow \text{gext } (s_subst \ h)$.

the first item in Theorem 1 in the paper:

Lemma substext ($A \ B$: Set)($f \ g$: $A \rightarrow \text{Lam } B$)(t : $\text{Lam } A$):
 $(\forall a, f \ a = g \ a) \rightarrow \text{subst } f \ t = \text{subst } g \ t$.

Lemma FV_var_gen ($X : \text{k1}$)(ef : $\text{EFct } X$)(j : $X \subseteq \text{Lam}$)($n : \text{NAT } j$)($A : \text{Set}$)($a : A$):
 $\text{FV} (\text{LamBase.In } ef \ n (\text{pvar } X \ a)) = a :: \text{nil}$.

Lemma FV_app_gen ($X : \text{k1}$)(ef : $\text{EFct } X$)(j : $X \subseteq \text{Lam}$)($n : \text{NAT } j$)($A : \text{Set}$)($t_1 \ t_2 : X \ A$):
 $\text{FV} (\text{LamBase.In } ef \ n (\text{papp } X \ A \ t_1 \ t_2)) = \text{FV} (j \ A \ t_1) ++ \text{FV} (j \ A \ t_2)$.

Lemma FV_abs_gen ($X : \text{k1}$)(ef : $\text{EFct } X$)(j : $X \subseteq \text{Lam}$)($n : \text{NAT } j$)($A : \text{Set}$)($r : X \ (\text{option } A)$):
 $\text{FV} (\text{LamBase.In } ef \ n (\text{pabs } X \ A \ r)) = \text{filterSome} (\text{FV} (j \ (\text{option } A) \ r))$.

Lemma FV_flat_gen ($X : \text{k1}$)(ef : $\text{EFct } X$)(j : $X \subseteq \text{Lam}$)($n : \text{NAT } j$)($A : \text{Set}$)($ee : X(X \ A)$):
 $\text{FV} (\text{LamBase.In } ef \ n (\text{pflat } X \ A \ ee)) = \text{flat_map} (\text{FV}(A := A) \circ (j \ A)) (\text{FV} (j \ (X \ A) \ ee))$.

Definition occursFreeln ($A : \text{Set}$)($a : A$)($t : \text{Lam } A$): $\text{Prop} := \text{In } a (\text{FV } t)$.

Infix "occ" := occursFreeln (at level 90).

Lemma occ_var ($X : \text{k1}$)(ef : $\text{EFct } X$)(j : $X \subseteq \text{Lam}$)($n : \text{NAT } j$)($A : \text{Set}$)($a : A$):
 $a \ occ (\text{LamBase.In } ef \ n (\text{pvar } X \ a))$.

Lemma occ_appl ($X : k1$) ($ef : \text{EFct } X$) ($j : X \subseteq \text{Lam}$) ($n : \text{NAT } j$) ($A : \text{Set}$) ($a : A$) ($t_1 t_2 : X A$):
 $(a \text{ occ } (j A t_1)) \rightarrow a \text{ occ } (\text{LamBase.In } ef n (\text{papp } X A t_1 t_2)).$

Lemma occ_appr ($X : k1$) ($ef : \text{EFct } X$) ($j : X \subseteq \text{Lam}$) ($n : \text{NAT } j$) ($A : \text{Set}$) ($a : A$) ($t_1 t_2 : X A$):
 $(a \text{ occ } (j A t_2)) \rightarrow a \text{ occ } (\text{LamBase.In } ef n (\text{papp } X A t_1 t_2)).$

Lemma occ_abs ($X : k1$) ($ef : \text{EFct } X$) ($j : X \subseteq \text{Lam}$) ($n : \text{NAT } j$) ($A : \text{Set}$) ($a : A$) ($r : X (\text{option } A)$):
 $((\text{Some } a) \text{ occ } (j (\text{option } A) r)) \rightarrow a \text{ occ } (\text{LamBase.In } ef n (\text{pabs } X A r)).$

Lemma occ_flat ($X : k1$) ($ef : \text{EFct } X$) ($j : X \subseteq \text{Lam}$) ($n : \text{NAT } j$) ($A : \text{Set}$) ($a : X A$) ($ee : X(X A)$):

$$\begin{aligned} & \text{occursFreeln } a (j (X A) ee) \rightarrow \\ & \forall a_0 : A, \text{occursFreeln } a_0 (j A a) \rightarrow \\ & \quad \text{occursFreeln } a_0 (\text{LamBase.In } ef n (\text{pflat } X A ee)). \end{aligned}$$

now the interpretation for canonical terms although not needed for Theorem 1:

Lemma occCan_var ($A : \text{Set}$) ($a : A$): $a \text{ occ } (\text{var } a).$

Lemma occCan_appl ($A : \text{Set}$) ($a : A$) ($t_1 t_2 : \text{Lam } A$):
 $a \text{ occ } t_1 \rightarrow a \text{ occ } (\text{app } t_1 t_2).$

Lemma occCan_appr ($A : \text{Set}$) ($a : A$) ($t_1 t_2 : \text{Lam } A$):
 $a \text{ occ } t_2 \rightarrow a \text{ occ } (\text{app } t_1 t_2).$

Lemma occCan_abs ($A : \text{Set}$) ($a : A$) ($r : \text{Lam}(\text{option } A)$):
 $(\text{Some } a) \text{ occ } r \rightarrow a \text{ occ } (\text{abs } r).$

Lemma occCan_flat ($A : \text{Set}$) ($a : A$) ($t : \text{Lam } A$) ($ee : \text{Lam}(\text{Lam } A)$):
 $a \text{ occ } t \rightarrow t \text{ occ } ee \rightarrow a \text{ occ } (\text{flat } ee).$

the second item in Theorem 1 in the paper:

Lemma substext' ($A B : \text{Set}$) ($f g : A \rightarrow \text{Lam } B$) ($t : \text{Lam } A$):
 $(\forall a, a \text{ occ } t \rightarrow f a = g a) \rightarrow \text{subst } f t = \text{subst } g t.$

the third item in Theorem 1 in the paper needs one extra lemma:

Lemma lift_map ($A B C : \text{Set}$) ($a : \text{option } A$) ($f : A \rightarrow \text{Lam } B$) ($g : B \rightarrow C$):
 $(\text{lam } (\text{option_map } g) \circ \text{lift } f) a = \text{lift } (\text{lam } g \circ f) a.$

the third item in Theorem 1 in the paper:

Lemma substGnat1 ($A B C : \text{Set}$) ($f : A \rightarrow \text{Lam } B$) ($g : B \rightarrow C$) ($t : \text{Lam } A$):
 $\text{lam } g (\text{subst } f t) = \text{subst } (\text{lam } g \circ f) t.$

the fourth item in Theorem 1 in the paper:

Lemma substGnat2 ($A B C : \text{Set}$) ($f : A \rightarrow B$) ($g : B \rightarrow \text{Lam } C$) ($t : \text{Lam } A$):
 $\text{subst } g (\text{lam } f t) = \text{subst } (g \circ f) t.$

just an instance

Lemma substLaw ($A B : \text{Set}$) ($f : A \rightarrow \text{Lam } B$) ($t : \text{Lam } A$):
 $\text{subst id } (\text{lam } f t) = \text{subst } f t.$

a more elaborate formulation of naturality

Instance substNAT ($X: k1(mX: \mathbf{mon} X)(h: X \subseteq \text{Lam})(n: \mathbf{NAT}(mX := mX) h)$:
 $\mathbf{NAT}(\text{fun } A \Rightarrow \text{subst}(h A)) (mX := \text{moncomp}(mX := \text{lam})(mY := mX)).$

Corollary substNATCor ($X: k1(mX: \mathbf{mon} X)(h: X \subseteq \text{Lam})(n: \mathbf{NAT}(mX := mX) h)$:
 $(A B: \text{Set})(f: A \rightarrow B)(t: \text{Lam}(X A)):$
 $\text{subst}(h B)(\text{lam}(mX A B f) t) = \text{lam} f (\text{subst}(h A) t).$

Definition flatimpl: $\forall (A: \text{Set}), \text{Lam}(\text{Lam } A) \rightarrow \text{Lam } A := \text{glessTosub subst}.$

Instance flatimplNAT: $\mathbf{NAT} \text{ flatimpl } (mX := \text{moncomp}(mX := \text{lam})(mY := \text{lam})).$

the fifth item in Theorem 1 in the paper:

Lemma substMonad3 ($A B C: \text{Set})(f: A \rightarrow \text{Lam } B)(g: B \rightarrow \text{Lam } C)(t: \text{Lam } A):$
 $\text{subst } g (\text{subst } f t) = \text{subst } ((\text{subst } g) \circ f) t.$

Section 5 of the paper

preparations for the formula for the list of free variables of $\text{subst } f t$

Lemma filterSomeAppend ($A: \text{Type})(l_1 l_2: \mathbf{list}(\mathbf{option} A)):$
 $\text{filterSome}(l_1 ++ l_2) = \text{filterSome } l_1 ++ \text{filterSome } l_2.$

Lemma filterSomeMapSome ($A: \text{Type})(l: \mathbf{list} A):$
 $\text{filterSome}(\text{map}(\text{Some}(A := A)) l) = l.$

Lemma filterSomeFV ($A: \text{Set})(t: \text{Lam } A):$

$\text{filterSome}(\text{FV}(\text{lam Some } t)) = \text{FV } t.$

this needed naturality of FV

Lemma FVsubst_aux ($A B: \text{Set})(f: A \rightarrow \text{Lam } B)(t: \mathbf{list}(\mathbf{option} A)):$
 $\text{filterSome}(\text{flat_map}(\text{FV}(A := \mathbf{option} B) \circ \text{lift } f) t) = \text{flat_map}(\text{FV}(A := B) \circ f)(\text{filterSome } t).$

this needed naturality of FV through filterSomeFV

further preparations for the last item of Theorem 1 in the paper:

Lemma flat_map_app ($A B: \text{Set})(f: A \rightarrow \mathbf{list} B)(l_1 l_2: \mathbf{list} A):$
 $\text{flat_map } f(l_1 ++ l_2) = \text{flat_map } f l_1 ++ \text{flat_map } f l_2.$

Lemma flat_mapMonad3 ($A B C: \text{Set})(f: A \rightarrow \mathbf{list} B)(g: B \rightarrow \mathbf{list} C)(l: \mathbf{list} A):$
 $\text{flat_map } g(\text{flat_map } f l) = \text{flat_map}((\text{flat_map } g) \circ f) l.$

the last item of Theorem 1 in the paper

Lemma FVsubst ($A B: \text{Set})(f: A \rightarrow \text{Lam } B)(t: \text{Lam } A):$
 $\text{FV}(\text{subst } f t) = \text{flat_map}(\text{FV}(A := B) \circ f)(\text{FV } t).$
here enters naturality of FV

its consequence close to the end of Section 2.3 of the paper:

Lemma subst_occ ($A B: \text{Set})(f: A \rightarrow \text{Lam } B)(t: \text{Lam } A)(b: B):$
 $b \text{ occ } (\text{subst } f t) \rightarrow \exists a: A, (a \text{ occ } t) \wedge (b \text{ occ } f a).$

hereditarily canonical terms

Definition 3 in the paper

```
Inductive can :  $\forall (A: \text{Set}), \text{Lam } A \rightarrow \text{Prop} :=$ 
| can_var :  $\forall (A: \text{Set})(a: A), \text{can} (\text{var } a)$ 
| can_app :  $\forall (A: \text{Set})(t_1 t_2: \text{Lam } A), \text{can } t_1 \rightarrow \text{can } t_2 \rightarrow \text{can}(\text{app } t_1 t_2)$ 
| can_abs :  $\forall (A: \text{Set})(r: \text{Lam}(\text{option } A)), \text{can } r \rightarrow \text{can} (\text{abs } r)$ 
| can_flat:  $\forall (A: \text{Set})(ee: \text{Lam}(\text{Lam } A)),$ 
  can ee  $\rightarrow (\forall t: \text{Lam } A, t \text{ occ } ee \rightarrow \text{can } t) \rightarrow \text{can} (\text{flat } ee).$ 
```

Here comes material that did not form part of the original submission of the SCP paper. It was stimulated by a question of one of the referees how **can** is related to what will be called *LamP* below. Many thanks to the anonymous referee for that. It was easy for me to answer that question since I had already studied binary versions of those unary predicates before. However, those developments would have led too far from the main issue of the paper.

Definition optionpred ($A: \text{Type})(P: A \rightarrow \text{Prop})$: **option** $A \rightarrow \text{Prop}$.

an axiomatic definition of a truly nested inductive predicate on *Lam*:

```
Parameter LamP:  $\forall A: \text{Set}, (A \rightarrow \text{Prop}) \rightarrow \text{Lam } A \rightarrow \text{Prop}.$ 
Axiom LamP_var:  $\forall (A: \text{Set})(P: A \rightarrow \text{Prop})(a: A), P a \rightarrow \text{LamP } P (\text{var } a).$ 
Axiom LamP_app:  $\forall (A: \text{Set})(P: A \rightarrow \text{Prop})(t_1 t_2: \text{Lam } A), \text{LamP } P t_1 \rightarrow \text{LamP } P t_2 \rightarrow \text{LamP } P (\text{app } t_1 t_2).$ 
Axiom LamP_abs:  $\forall (A: \text{Set})(P: A \rightarrow \text{Prop})(r: \text{Lam}(\text{option } A)), \text{LamP } (\text{optionpred } P) r \rightarrow \text{LamP } P (\text{abs } r).$ 
Axiom LamP_flat:  $\forall (A: \text{Set})(P: A \rightarrow \text{Prop})(ee: \text{Lam}(\text{Lam } A)), \text{LamP } (\text{LamP } P) ee \rightarrow \text{LamP } P (\text{flat } ee).$ 
Axiom LamP_ind:  $\forall (Q: \forall A: \text{Set}, (A \rightarrow \text{Prop}) \rightarrow \text{Lam } A \rightarrow \text{Prop}),$ 
   $(\forall (A: \text{Set})(P: A \rightarrow \text{Prop})(a: A), P a \rightarrow Q A P (\text{var } a))$ 
 $\rightarrow (\forall (A: \text{Set})(P: A \rightarrow \text{Prop})(t_1 t_2: \text{Lam } A), \text{LamP } P t_1 \rightarrow Q A P t_1 \rightarrow \text{LamP } P t_2 \rightarrow Q A P t_2 \rightarrow Q A P (\text{app } t_1 t_2))$ 
 $\rightarrow (\forall (A: \text{Set})(P: A \rightarrow \text{Prop})(r: \text{Lam}(\text{option } A)), \text{LamP } (\text{optionpred } P) r \rightarrow Q (\text{option } A) (\text{optionpred } P) r \rightarrow Q A P (\text{abs } r))$ 
 $\rightarrow (\forall (A: \text{Set})(P: A \rightarrow \text{Prop})(ee: \text{Lam}(\text{Lam } A)), \text{LamP } (\text{LamP } P) ee \rightarrow Q (\text{Lam } A) (Q A P) ee \rightarrow Q A P (\text{flat } ee))$ 
 $\rightarrow \forall (A: \text{Set})(P: A \rightarrow \text{Prop})(t: \text{Lam } A), \text{LamP } P t \rightarrow Q A P t.$ 
```

the last clause is in plain analogy with the other ones

Definition univpred ($A: \text{Type}$): $A \rightarrow \text{Prop}$.

a variant that is accepted as inductive definition by Coq:

```
Inductive LamP' :  $\forall (A: \text{Set}), (A \rightarrow \text{Prop}) \rightarrow \text{Lam } A \rightarrow \text{Prop} :=$ 
| LamP'_var:  $\forall (A: \text{Set})(P: A \rightarrow \text{Prop})(a: A), P a \rightarrow \text{LamP}' P (\text{var } a)$ 
| LamP'_app:  $\forall (A: \text{Set})(P: A \rightarrow \text{Prop})(t_1 t_2: \text{Lam } A), \text{LamP}' P t_1 \rightarrow \text{LamP}' P t_2 \rightarrow \text{LamP}' P (\text{app } t_1 t_2)$ 
| LamP'_abs:  $\forall (A: \text{Set})(P: A \rightarrow \text{Prop})(r: \text{Lam}(\text{option } A)), \text{LamP}' (\text{optionpred } P) r \rightarrow \text{LamP}' P (\text{abs } r)$ 
```

| $\text{LamP}'_\text{flat}: \forall (A: \text{Set})(P: A \rightarrow \text{Prop})(ee: \text{Lam}(\text{Lam } A)), \text{LamP}' (@\text{univpred } (\text{Lam } A)) ee \rightarrow (\forall t: \text{Lam } A, t \text{ occ } ee \rightarrow \text{LamP}' P t) \rightarrow \text{LamP}' P (\text{flat } ee).$

Lemma LamP'ImpCan ($A: \text{Set})(P: A \rightarrow \text{Prop})(t: \text{Lam } A): \text{LamP}' P t \rightarrow \text{can } t.$

Require Import Setoid.

Require Import Morphisms.

Definition subpredicate ($A: \text{Type})(P P': A \rightarrow \text{Prop}):= \forall a:A, P a \rightarrow P' a.$

Add Parametric Morphism ($A: \text{Set}): (\text{LamP}'(A:=A))$
with signature (subpredicate($A:=A$)) \implies (subpredicate($A:=\text{Lam } A$))
as $\text{LamP}'_{\text{monM}}$.

Lemma CanImpLamP'univ ($A: \text{Set})(t: \text{Lam } A): \text{can } t \rightarrow \text{LamP}' (@\text{univpred } A) t.$

thus, the relation between **can** and **LamP'** is rather trivial

Lemma occ_var_inv ($A: \text{Set})(a b: A): a \text{ occ } (\text{var } b) \rightarrow a = b.$

Lemma occ_app_inv ($A: \text{Set})(a: A)(t_1 t_2: \text{Lam } A): a \text{ occ } (\text{app } t_1 t_2) \rightarrow (a \text{ occ } t_1) \vee (a \text{ occ } t_2).$

Lemma occ_abs_inv ($A: \text{Set})(a: A)(r: \text{Lam } (\text{option } A)):$
 $a \text{ occ } (\text{abs } r) \rightarrow \text{Some } a \text{ occ } r.$

Lemma occ_flat_inv ($A: \text{Set})(a: A)(ee: \text{Lam}(\text{Lam } A)):$
 $a \text{ occ } (\text{flat } ee) \rightarrow \exists t: \text{Lam } A, (a \text{ occ } t) \wedge (t \text{ occ } ee).$

an auxiliary lemma

Lemma LamP'_FV ($A: \text{Set})(P: A \rightarrow \text{Prop})(t: \text{Lam } A)(a: A): \text{LamP}' P t \rightarrow a \text{ occ } t \rightarrow P a.$

for curiosity, an analogous lemma for LamP :

Lemma LamP_FV ($A: \text{Set})(P: A \rightarrow \text{Prop})(t: \text{Lam } A)(a: A): \text{LamP } P t \rightarrow a \text{ occ } t \rightarrow P a.$

LamP' satisfies the last closure rule of LamP :

Lemma LamP'_flat' ($A: \text{Set})(P: A \rightarrow \text{Prop})(ee: \text{Lam}(\text{Lam } A)): \text{LamP}' (\text{LamP}' P) ee \rightarrow \text{LamP}' P (\text{flat } ee).$

Lemma LamP'ImpLamP' ($A: \text{Set})(P: A \rightarrow \text{Prop})(t: \text{Lam } A): \text{LamP } P t \rightarrow \text{LamP}' P t.$

another auxiliary lemma:

Lemma CanImpLamP ($A: \text{Set})(t: \text{Lam } A): \text{can } t \rightarrow \forall P: A \rightarrow \text{Prop}, (\forall a: A, a \text{ occ } t \rightarrow P a) \rightarrow \text{LamP } P t.$

LamP satisfies the last closure rule of **LamP'**:

Lemma LamP_flat' ($A: \text{Set})(P: A \rightarrow \text{Prop})(ee: \text{Lam}(\text{Lam } A)): \text{LamP } (@\text{univpred } (\text{Lam } A)) ee \rightarrow (\forall t: \text{Lam } A, t \text{ occ } ee \rightarrow \text{LamP } P t) \rightarrow \text{LamP } P (\text{flat } ee).$

Lemma LamP'ImpLamP ($A: \text{Set})(P: A \rightarrow \text{Prop})(t: \text{Lam } A): \text{LamP}' P t \rightarrow \text{LamP } P t.$

Section 5.1 of the paper

we are only able to prove a weakened version of the second monad law, namely Lemma 8 in the paper:

Lemma substMonad2 ($A: \text{Set}$) $(t: \text{Lam } A)$: **can** $t \rightarrow \text{subst}(\text{var}(A := A)) t = t$.

Lemma lamext_refined ($A B: \text{Set}$) $(f g: A \rightarrow B)$ $(t: \text{Lam } A)$:

can $t \rightarrow (\forall a, a \text{ occ } t \rightarrow f a = g a) \rightarrow \text{lam } f t = \text{lam } g t$.

Lemma lam_occ ($A B: \text{Set}$) $(f: A \rightarrow B)$ $(t: \text{Lam } A)$ $(b: B)$:

$b \text{ occ } (\text{lam } f t) \rightarrow \exists a: A, (a \text{ occ } t) \wedge (b = f a)$.

Lemma lam_can ($A B: \text{Set}$) $(f: A \rightarrow B)$ $(t: \text{Lam } A)$: **can** $t \rightarrow \text{can}(\text{lam } f t)$.

Lemma subst_can ($A B: \text{Set}$) $(f: A \rightarrow \text{Lam } B)$ $(t: \text{Lam } A)$:

$(\forall a: A, a \text{ occ } t \rightarrow \text{can}(f a)) \rightarrow \text{can} t \rightarrow \text{can}(\text{subst } f t)$.

Lemma lam_is_subst ($A B: \text{Set}$) $(f: A \rightarrow B)$ $(t: \text{Lam } A)$:

can $t \rightarrow \text{lam } f t = \text{subst}(\text{var}(A := B) \circ f) t$.

finally, we obtain the desired rewrite rule for **subst** in the case **flat**, but only for canonical elements

Lemma subst_flat' ($A B: \text{Set}$) $(f: A \rightarrow \text{Lam } B)$ $(ee: \text{Lam}(\text{Lam } A))$:

can $ee \rightarrow \text{subst } f (\text{flat } ee) = \text{flat}(\text{lam } (\text{subst } f) ee)$.

Section 5.2 of the paper

Definition Lam' ($A: \text{Set}$): $\text{Set} := \{t: \text{Lam } A \mid \text{can } t\}$.

Inductive Lam'_ALT ($A: \text{Set}$): $\text{Set} :=$

$\text{existLam}' : \forall t: \text{Lam } A, \text{can } t \rightarrow \text{Lam}'_ALT A$.

Definition Lam'_ALT_Lam' ($A: \text{Set}$): **Lam'_ALT** $A \rightarrow \text{Lam}' A$.

Definition Lam'_Lam'_ALT ($A: \text{Set}$): $\text{Lam}' A \rightarrow \text{Lam}'_ALT A$.

Lemma Lam'_ALT_bij_Lam' ($A: \text{Set}$):

$(\forall t: \text{Lam}' A, \text{Lam}'_ALT_Lam'(\text{Lam}'_Lam'_ALT t) = t) \wedge$

$(\forall t: \text{Lam}'_ALT A, \text{Lam}'_Lam'_ALT(\text{Lam}'_ALT_Lam' t) = t)$.

Definition pi1: $\text{Lam}' \subseteq \text{Lam}$.

Implicit Arguments $\text{pi1} [[A]]$.

Definition pi1_ALT: **Lam'_ALT** $\subseteq \text{Lam}$.

Definition var' ($A: \text{Set}$) $(a: A)$: $\text{Lam}' A$.

Definition app' ($A: \text{Set}$) $(t_1 t_2: \text{Lam}' A)$: $\text{Lam}' A$.

Definition abs' ($A: \text{Set}$) $(r: \text{Lam}'(\text{option } A))$: $\text{Lam}' A$.

Definition flat' ($A: \text{Set}$) $(ee: \text{Lam}'(\text{Lam}' A))$: $\text{Lam}' A$.

unfortunately, this definition has to do something on terms, namely renaming using **pi1**

Lemma flat'_ok ($A: \text{Set}$) $(ee: \text{Lam}'(\text{Lam}' A))$:

$\text{pi1}(\text{flat}' ee) = \text{flat}(\text{lam } \text{pi1}(\text{pi1 } ee))$.

Instance lam' : **LNMItPred.mon** Lam' .

Lemma $\text{lam}'\text{-ok } (A \ B: \text{Set})(f: A \rightarrow B)(t: \text{Lam}' \ A):$
 $\text{pi1}(\text{lam}' f t) = \text{lam } f (\text{pi1 } t)$.

Definition $\text{subst}' (A \ B: \text{Set})(f: A \rightarrow \text{Lam}' \ B)(t: \text{Lam}' \ A): \text{Lam}' \ B$.

Lemma $\text{subst}'\text{-ok } (A \ B: \text{Set})(f: A \rightarrow \text{Lam}' \ B)(t: \text{Lam}' \ A):$
 $\text{pi1}(\text{subst}' f t) = \text{subst}(\text{pi1} \circ f)(\text{pi1 } t)$.

Definition $\text{FV}' : \text{Lam}' \subseteq \text{listk1}$.

Instance $\text{FV}'\text{NAT}$: **NAT** FV' .

Corollary $\text{FV}'\text{-ok } (A \ B: \text{Set})(f: A \rightarrow B)(t: \text{Lam}' \ A):$
 $\text{FV}'(\text{lam}' f t) = \text{map } f (\text{FV}' t)$.

Lemma $\text{FV}'\text{-var } (A: \text{Set})(a: A): \text{FV}'(\text{var}' a) = a :: \text{nil}$.

Lemma $\text{FV}'\text{-app } (A: \text{Set})(t_1 \ t_2: \text{Lam}' \ A): \text{FV}'(\text{app}' t_1 \ t_2) = \text{FV}' t_1 ++ \text{FV}' t_2$.

Lemma $\text{FV}'\text{-abs } (A: \text{Set})(r: \text{Lam}' (\text{option } A)): \text{FV}'(\text{abs}' r) = \text{filterSome } (\text{FV}' r)$.

Lemma $\text{FV}'\text{-flat } (A: \text{Set})(ee: \text{Lam}' (\text{Lam}' \ A)):$

$\text{FV}'(\text{flat}' ee) = \text{flat_map } (\text{FV}'(A := A)) (\text{FV}' ee)$.

item 6 of Theorem 1 for Lam' in place of Lam :

Lemma $\text{FV}'\text{-subst}' (A \ B: \text{Set})(f: A \rightarrow \text{Lam}' \ B)(t: \text{Lam}' \ A):$
 $\text{FV}'(\text{subst}' f t) = \text{flat_map } (\text{FV}'(A := B) \circ f) (\text{FV}' t)$.

Definition $\text{occursFreeIn}' (A: \text{Set})(a: A)(t: \text{Lam}' \ A): \text{Prop} := \text{In } a (\text{FV}' t)$.

Infix " occ' " := $\text{occursFreeIn}'$ (at level 90).

Lemma $\text{lam}'\text{-occ } (A \ B: \text{Set})(f: A \rightarrow B)(t: \text{Lam}' \ A)(b: B):$
 $b \text{ occ}' (\text{lam}' f t) \rightarrow \exists a: A, (a \text{ occ}' t) \wedge (b = f a)$.

Lemma $\text{lam}'\text{-occ-ALT } (A \ B: \text{Set})(f: A \rightarrow B)(t: \text{Lam}' \ A)(b: B):$
 $b \text{ occ}' (\text{lam}' f t) \rightarrow \exists a: A, (a \text{ occ}' t) \wedge (b = f a)$.

Lemma $\text{subst}'\text{-occ } (A \ B: \text{Set})(f: A \rightarrow \text{Lam}' \ B)(t: \text{Lam}' \ A)(b: B):$
 $b \text{ occ}' (\text{subst}' f t) \rightarrow \exists a: A, (a \text{ occ}' t) \wedge (b \text{ occ}' f a)$.

Lemma $\text{subst}'\text{-occ-ALT } (A \ B: \text{Set})(f: A \rightarrow \text{Lam}' \ B)(t: \text{Lam}' \ A)(b: B):$
 $b \text{ occ}' (\text{subst}' f t) \rightarrow \exists a: A, (a \text{ occ}' t) \wedge (b \text{ occ}' f a)$.

Definition $\text{EqLam}' (A: \text{Set})(t_1 \ t_2: \text{Lam}' \ A): \text{Prop} := \text{pi1 } t_1 = \text{pi1 } t_2$.

EqLam' is displayed as the infix \equiv .

Lemma $\text{EqLam}'\text{-refl } (A: \text{Set})(t: \text{Lam}' \ A): t \equiv t$.

Lemma $\text{EqLam}'\text{-sym } (A: \text{Set})(t_1 \ t_2: \text{Lam}' \ A): t_1 \equiv t_2 \rightarrow t_2 \equiv t_1$.

Lemma $\text{EqLam}'\text{-trans } (A: \text{Set})(t_1 \ t_2 \ t_3: \text{Lam}' \ A): t_1 \equiv t_2 \rightarrow t_2 \equiv t_3 \rightarrow t_1 \equiv t_3$.

Add *Parametric Relation* $(A: \text{Set})$: $(\text{Lam}' \ A) (\text{EqLam}'(A := A))$
reflexivity proved by $(\text{EqLam}'\text{-refl}(A := A))$

symmetry proved by (EqLam'_sym($A := A$))
transitivity proved by (EqLam'_trans($A := A$))
as bisimilarRel.

we do not impose the following axiomatically

Definition proof_irrelevance := $\forall (P: \text{Prop}) (p_1 p_2: P), p_1 = p_2$.

Definition Lam'pirrProp := $\forall (A: \text{Set})(t_1 t_2: \text{Lam}' A), t_1 \equiv t_2 \rightarrow t_1 = t_2$.

Lemma Lam'pirrFromPirr: proof_irrelevance \rightarrow Lam'pirrProp.

Theorem 9 in the paper

item 1:

Lemma subst'ext' ($A B: \text{Set}$) ($f g: A \rightarrow \text{Lam}' B$) ($t: \text{Lam}' A$):
 $(\forall a, a \text{ occ}' t \rightarrow f a \equiv g a) \rightarrow \text{subst}' f t \equiv \text{subst}' g t$.

Corollary subst'ext ($A B: \text{Set}$) ($f g: A \rightarrow \text{Lam}' B$) ($t: \text{Lam}' A$):
 $(\forall a, f a \equiv g a) \rightarrow \text{subst}' f t \equiv \text{subst}' g t$.

item 2:

Lemma subst'Gnat1 ($A B C: \text{Set}$) ($f: A \rightarrow \text{Lam}' B$) ($g: B \rightarrow C$) ($t: \text{Lam}' A$):
 $\text{lam}' g (\text{subst}' f t) \equiv \text{subst}' (\text{lam}' g \circ f) t$.

item 3:

Lemma subst'Gnat2 ($A B C: \text{Set}$) ($f: A \rightarrow B$) ($g: B \rightarrow \text{Lam}' C$) ($t: \text{Lam}' A$):
 $\text{subst}' g (\text{lam}' f t) \equiv \text{subst}' (g \circ f) t$.

item 4:

Definition monad3Lam': Prop :=
 $\forall (A B C: \text{Set}) (f: A \rightarrow \text{Lam}' B) (g: B \rightarrow \text{Lam}' C) (t: \text{Lam}' A),$
 $\text{subst}' g (\text{subst}' f t) \equiv \text{subst}' (\text{subst}' g \circ f) t$.

Lemma subst'Monad3: monad3Lam'.

the lemmas that no longer need an explicit relativization to hereditarily canonical elements

item 5:

Lemma subst'Monad2 ($A: \text{Set}$) ($t: \text{Lam}' A$): $\text{subst}' (\text{var}'(A := A)) t \equiv t$.

item 6:

Lemma lam'_is_subst' ($A B: \text{Set}$) ($f: A \rightarrow B$) ($t: \text{Lam}' A$):
 $\text{lam}' f t \equiv \text{subst}' (\text{var}'(A := B) \circ f) t$.

item 7:

Lemma subst'_flat' ($A B: \text{Set}$) ($f: A \rightarrow \text{Lam}' B$) ($ee: \text{Lam}'(\text{Lam}' A)$):
 $\text{subst}' f (\text{flat}' ee) \equiv \text{flat}' (\text{lam}' (\text{subst}' f) ee)$.

This completes Theorem 9 of the paper.

Lemma $\text{lam'}_{\text{-var}} (A B: \text{Set})(f: A \rightarrow B)(a: A) : \text{lam}' f (\text{var}' a) \equiv \text{var}' (f a)$.

Lemma $\text{lam'}_{\text{-app}} (A B: \text{Set})(f: A \rightarrow B)(t_1 t_2: \text{Lam}' A) :$
 $\text{lam}' f (\text{app}' t_1 t_2) \equiv \text{app}' (\text{lam}' f t_1)(\text{lam}' f t_2)$.

Lemma $\text{lam'}_{\text{-abs}} (A B: \text{Set})(f: A \rightarrow B)(r: \text{Lam}'(\text{option } A)) :$
 $\text{lam}' f (\text{abs}' r) \equiv \text{abs}' (\text{lam}' (\text{option_map } f) r)$.

Lemma $\text{lam'}_{\text{-flat}} (A B: \text{Set})(f: A \rightarrow B)(ee: \text{Lam}'(\text{Lam}' A)) :$
 $\text{lam}' f (\text{flat}' ee) \equiv \text{flat}' (\text{lam}' (\text{lam}' f) ee)$.

Lemma $\text{lam'}_{\text{-ext_refined}} (A B: \text{Set})(f g: A \rightarrow B)(t: \text{Lam}' A) :$
 $(\forall a, a \text{ occ}' t \rightarrow f a = g a) \rightarrow \text{lam}' f t \equiv \text{lam}' g t$.

Corollary $\text{lam'}_{\text{-ext}} (A B: \text{Set})(f g: A \rightarrow B) :$
 $(\forall a, f a = g a) \rightarrow \forall r, \text{lam}' f r \equiv \text{lam}' g r$.

Lemma $\text{lam'}_{\text{-fct1}} (A: \text{Set})(t: \text{Lam}' A) : \text{lam}' \text{id} t \equiv t$.

Lemma $\text{lam'}_{\text{-fct2}} (A B C: \text{Set}) (f: A \rightarrow B) (g: B \rightarrow C) (t: \text{Lam}' A) :$
 $\text{lam}' (g \circ f) t \equiv \text{lam}' g (\text{lam}' f t)$.

Definition $\text{lift}' (A B: \text{Set})(f: A \rightarrow \text{Lam}' B)(x: \text{option } A) : \text{Lam}'(\text{option } B) :=$
match x **with**
| None $\Rightarrow \text{var}' \text{None}$
| Some $a \Rightarrow \text{lam}' \text{Some} (f a)$
end.

Lemma $\text{lift'}_{\text{-ok}} (A B: \text{Set})(f: A \rightarrow \text{Lam}' B)(x: \text{option } A) :$
 $\text{pi1} (\text{lift}' f x) = \text{lift} (\text{pi1} \circ f) x$.

Lemma $\text{subst'}_{\text{-Monad1}} (A B: \text{Set})(f: A \rightarrow \text{Lam}' B)(a: A) :$
 $\text{subst}' f (\text{var}' a) \equiv f a$.

Lemma $\text{subst'}_{\text{-var}} (A B: \text{Set})(f: A \rightarrow \text{Lam}' B)(a: A) :$
 $\text{subst}' f (\text{var}' a) \equiv f a$.

Lemma $\text{subst'}_{\text{-app}} (A B: \text{Set})(f: A \rightarrow \text{Lam}' B)(t_1 t_2: \text{Lam}' A) :$
 $\text{subst}' f (\text{app}' t_1 t_2) \equiv \text{app}' (\text{subst}' f t_1)(\text{subst}' f t_2)$.

Lemma $\text{subst'}_{\text{-abs}} (A B: \text{Set})(f: A \rightarrow \text{Lam}' B)(r: \text{Lam}'(\text{option } A)) :$
 $\text{subst}' f (\text{abs}' r) \equiv \text{abs}' (\text{subst}' (\text{lift}' f) r)$.

Lemma $\text{subst'}_{\text{-flat}} (A B: \text{Set})(f: A \rightarrow \text{Lam}' B)(ee: \text{Lam}'(\text{Lam}' A)) :$
 $\text{subst}' f (\text{flat}' ee) \equiv \text{flat}' (\text{subst}' (\text{var}'(A:= \text{Lam}' B) \circ (\text{subst}' f)) ee)$.

Section 5.3 of the paper

Definition $\text{LamFmon2br}: \text{mon2br } \text{LamF}$.

Definition $\text{LamToCan}: \text{Lam} \subseteq \text{Lam} := \text{LamMMItDef.canonize } \text{LamFmon2br}$.

Lemma $\text{LamToCan_var} (A: \text{Set})(a: A) : \text{LamToCan}(\text{var } a) = \text{var } a$.

Lemma $\text{LamToCan_app} (A: \text{Set})(t_1 t_2: \text{Lam } A) : \text{LamToCan}(\text{app } t_1 t_2) = \text{app } (\text{LamToCan } t_1)(\text{LamToCan } t_2)$.

Lemma `LamToCan_abs` ($A: \text{Set}$)($r: \text{Lam}(\text{option } A)$): $\text{LamToCan}(\text{abs } r) = \text{abs}(\text{LamToCan } r)$.

Lemma `LamToCan_flat` ($A: \text{Set}$)($ee: \text{Lam}(\text{Lam } A)$):

$\text{LamToCan}(\text{flat } ee) = \text{flat}(\text{lam}(\text{LamToCan}(A := A))(\text{LamToCan } ee))$.

canonical elements are not changed by canonization

Theorem `LamToCan_invariant` ($A: \text{Set}$)($t: \text{Lam } A$): `can` $t \rightarrow \text{LamToCan } t = t$.

canonization yields hereditarily canonical elements

Theorem `LamToCanCan` ($A: \text{Set}$)($t: \text{Lam } A$): `can` ($\text{LamToCan } t$).

canonization can be expressed as follows:

Definition `LamToLam'`: $\text{Lam} \subseteq \text{Lam}'$.

Lemma `LamToLam'_ok` ($A: \text{Set}$)($t: \text{Lam } A$): $\text{pi1}(\text{LamToLam}' t) = \text{LamToCan } t$.

Scheme `canInd` := Induction for `can` Sort Prop.

Definition `IsConstructed'` ($A: \text{Set}$)($t: \text{Lam}' A$): Prop :=

$(\exists a: A, t = \text{var}' a) \vee (\exists t_1, \exists t_2, t = \text{app}' t_1 t_2) \vee (\exists r, t = \text{abs}' r) \vee (\exists ee, t \equiv \text{flat}' ee)$.

Lemma `Lam'Exhausted` ($A: \text{Set}$)($t: \text{Lam}' A$): `IsConstructed'` t .

End LAMFLAT.