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Gradual elements in a fuzzy set

**Authors:** Didier Dubois · Henri Prade

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# Gradual elements in a fuzzy set

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**Abstract** The notion of a fuzzy set stems from considering sets where, in the words of Zadeh, the “transition from non-membership to membership is gradual rather than abrupt”. This paper introduces a new concept in fuzzy set theory, that of a gradual element. It embodies the idea of fuzziness only, thus contributing to the distinction between fuzziness and imprecision. A gradual element is to an element of a set what a fuzzy set is to a set. A gradual element is as precise as an element, but the former is flexible while the latter is fixed. The gradual nature of an element may express the idea that the choice of this element depends on a parameter expressing some relevance or describing some concept. Applications of this notion to fuzzy cardinality, fuzzy interval analysis, fuzzy optimization, and defuzzification principles are outlined.

**Keywords** Fuzzy set · Alpha-cuts · Fuzzy numbers

## 1 Introduction

Originally, Zadeh used the word “fuzzy” as referring specifically to the introduction of shades or grades in all-or-nothing concepts. A fuzzy set Zadeh (1965) is a generalization of subset (at least in the naive sense); it is a subset with boundaries that are “gradual rather than abrupt”. It is defined by a membership function from a basic set to the unit interval (or a suitable lattice) and its cuts are sets. In fuzzy set theory, the

term “fuzzy” explicitly refers to the idea of gradual transition, as opposed to the term “vague”, which refers to lexical imprecision at large.

However, there is a recurrent confusion in the literature between the word “fuzzy” and other words or phrases like “imprecise”, “inexact”, “incompletely specified”, “vague” that rather refer to a lack of sufficient information. For instance, what is often called a fuzzy number is understood as a generalized interval, not as a generalized number. The calculus of fuzzy numbers is basically an extension of interval arithmetics. Especially, fuzzy numbers in this sense, being fuzzy subsets of the real line, cannot be equipped with a group structure for the addition. Similarly, in engineering papers, defuzzification is generally understood as turning a fuzzy set of numbers (obtained from some fuzzy inference engine) into a number. Yet, since defuzzifying literally means sharpening, removing graduality, then defuzzifying a fuzzy set should yield a set, not a point. For instance, the notion of mean value of a fuzzy interval was proposed as a natural way of extracting an interval from a fuzzy interval (Dubois and Prade 1987, where the phrase “fuzzy number” was used in the sense of a unimodal fuzzy interval). On interval defuzzification, see also recent works by Roventa and Spircu (2003) and Ralescu (2002).

To be more credible, the defuzzification process as used in the engineering area should be split into two steps: removing fuzziness (thus getting an interval), and removing imprecision (by selecting a number in the interval). Suppose we perform defuzzification by swapping these two steps: given a fuzzy set of numbers, suppose we first remove imprecision. Then, we get what we could call a “fuzzy real number” or a “gradual number” that one may defuzzify eventually. Such a gradual number would then express fuzziness only, WITHOUT imprecision. To get a good intuition of a gradual number, one may view a fuzzy interval as a pair of such

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gradual numbers, just as an interval is an ordered pair of numbers.

More generally, this discussion leads to introduce the notion of fuzzy or gradual element of a (fuzzy) set, a concept that was apparently missing in fuzzy set theory. Topologists tried to introduce ideas of fuzzy points in the past (attaching a membership value to a single element of a set), but this notion has often been controversial, and sterile in its applications. In fact they were meant to be fuzzy singletons, not really fuzzy elements. The aim of this paper is to introduce a natural notion of gradual element and gradual (integer or real) number, to outline elementary formal definitions related to this notion and discuss its potential at shedding light on some yet ill-understood aspects of fuzzy set theory and its applications. A full-fledged mathematical development is left for further research.

## 2 Basic definitions

Let  $S$  be a set. Consider a complete distributive lattice  $L$  with top 1 and bottom 0. In practice,  $L$  is often totally ordered (the unit interval, typically). Elements of  $L$  are often interpreted as degrees of membership (for fuzzy sets), degrees of possibility, of preference, of truth, etc. In this paper, they correspond to a set of more or less relevant contexts [an idea coined by Gebhardt and Kruse (1993) in a different scope]. As a consequence,  $L$  will be called a relevance scale. Let  $L^+ = L \setminus \{0\}$  denote the set  $L$  where the bottom element has been removed.

**Definition 1** A fuzzy (or gradual) element  $e$  in  $S$  is defined by its assignment function, a mapping  $\mathcal{A}_e$  from  $L^+$  to  $S$ .

*Example 1* Assume  $S = \{s_1, s_2, s_3\}$ ,  $L = \{0, 0.25, 0.5, 0.75, 1\}$ . Consider the assignment function defined by:

$$\mathcal{A}_e(1) = s_1; \mathcal{A}_e(0.25) = s_1; \mathcal{A}_e(0.5) = s_2; \mathcal{A}_e(0.75) = s_3.$$

In the following we shall use the term *gradual element* so as to avoid confusion, when such an element is a number, with what is usually meant by a fuzzy number in the literature. Several remarks are in order. First, the mapping we consider goes from the relevance scale to the referential (contrary to a fuzzy set). Given a degree of relevance  $\lambda > 0$ ,  $\mathcal{A}_e(\lambda) = s$  is the element of  $S$  representative of  $e$  at level  $\lambda$ . It is the element that is suitable in the corresponding context.

A crisp element  $s \in S$  has its own assignment function  $\mathcal{A}_s$ , which is a constant mapping defined by  $\forall \lambda > 0, \mathcal{A}_s(\lambda) = s$ . On the other hand,  $\mathcal{A}_s(0)$  is not defined because there is no counterpart to the empty set for elements of a set.

*Remark* The domain of  $\mathcal{A}_e$  could be defined as any proper subset of  $L$  containing 1 and excluding 0. However, the fact that the domain of an assignment function may vary from one gradual element to the other would create difficulties when

combining gradual elements. It is then always possible to augment the domain of  $\mathcal{A}_e$  by building a mapping  $\mathcal{A}_e^*$  from  $L^+$  to  $S$ , such that  $\forall \lambda \in \text{Dom}(\mathcal{A}_e), \mathcal{A}_e^*(\lambda) = \mathcal{A}_e(\lambda)$ , by letting:

$$\forall \lambda > 0, \mathcal{A}_e^*(\lambda) = \mathcal{A}_e(\lambda^*),$$

where  $\lambda^* = \inf\{\alpha > \lambda, \alpha \in \text{Dom}(\mathcal{A}_e)\}$ . The idea is that if an element  $s$  is representative of the gradual element  $e$  to a certain extent, it is also representative to a lesser extent, unless otherwise specified.

One might also require an injective assignment function, in order to ensure that each  $s \in S$  is attached a single degree of relevance, but there is no clear reason to do so, simply because as seen later, extending operations equipping  $S$  to gradual elements may fail to preserve this property. And note that it would exclude crisp elements, as their assignment function is not injective. But the lack of injectivity of assignment functions implies that they cannot always be reinterpreted as (inverses of) membership functions of fuzzy sets. For instance in Example 1, the membership grade of  $s_1$  is not uniquely defined.

The idea of a gradual element, in contrast with the notion of a fuzzy set, can also be easily illustrated by the following important example of a gradual number. Consider a convex fuzzy set of the real line, i.e. a fuzzy interval  $M$ . Let  $m_\alpha$  be the middle-point of the  $\alpha$ -level cut of  $M$ . The set of pairs  $m(M) = \{(\alpha, m_\alpha) \mid \alpha \in (0, 1]\}$  defines a gradual element of the real line, which can be called the fuzzy middle-point of  $M$ . If the membership function of  $M$  is symmetrical, then  $m(M)$  reduces to an ordinary real number that is the common abscissa of the middle-points of all the  $\alpha$ -cuts of  $M$ . For a non-symmetrical trapezoidal fuzzy interval, one obtains a straight-line segment from the mid-point of the core ( $c(M) = \{r, \mu_M(r) = 1\}$ ) to the mid-point of the support ( $\text{supp}(M) = \{r, \mu_M(r) > 0\}$ ). It is a particular gradual element, actually a gradual real number (or gradual number for short). In the general case,  $m_\alpha$  is not a monotonic function of  $\alpha$ , and the gradual number  $m(M)$  may take shapes that can no longer be reinterpreted as a membership function, i.e., as a mapping from the real line into  $[0, 1]$ . Using the formal definition of a gradual element introduced in this paper, it is possible to extend, to fuzzy intervals, results like for instance: the middle-point of the sum of two intervals is equal to the sum of the middle-points of the intervals, as seen later. Note that there is no uncertainty in a gradual element since the assignment function reflects the idea of representativeness only. A gradual element is a “flexible” element, not so much an uncertain element: we still have the right of choice when picking a suitable representative for it. One can see a gradual element as an element depending on the relevance value  $\lambda$ , with the idea to postpone the choice of  $\lambda$  later in some decision process, for instance by selecting the proper context.

158 2.1 Fuzzy sets as gradual elements of the power set

159 In order to check if the definition of a gradual element is mean-  
 160 ingful, we must prove that a fuzzy subset of  $S$  can be defined  
 161 as a gradual element of the power set  $2^S$ . The natural assign-  
 162 ment function of a fuzzy set  $F$  is its associated cut-mapping,  
 163 which assigns to any  $\lambda \in L^+$  its  $\lambda$ -cut  $F_\lambda = \{s, \mu_F(s) \geq \lambda\}$ .  
 164 Define the assignment function of  $F$  as:

165 
$$\mathcal{A}_F^{\geq}(\lambda) = F_\lambda, \quad \forall \lambda \in L^+.$$

166 This mapping has been especially studied by [Negoita and](#)  
 167 [Ralescu \(1975\)](#). In the general case, this mapping may not  
 168 be injective. Let  $L_F = \mu_F(S) \setminus \{0\}$  be the set of non-zero  
 169 membership grades of  $F$ .  $L_F$  can be a finite set and then  
 170 the range of  $\mathcal{A}_F$  consists of  $|L_F|$  distinct nested subsets.  
 171 Alternatively, one may try to represent  $F$  by an assignment  
 172 function that map on disjoint subsets ( $\lambda$ -sections):

173 
$$\mathcal{A}_F^{\bar{=}}(\lambda) = \{s, \mu_F(s) = \lambda\}, \quad \forall \lambda \in L_F.$$

174 But the problem is that generally,  $\mathcal{A}_F^{\bar{=}}$  will not be a mapping  
 175 since its domain  $L_F$  may be a proper subset of  $L^+$ .

176 However, Definition 1 is in agreement with a more general  
 177 view of fuzzy sets whose crisp representatives are neither  
 178 nested nor disjoint.

179 **Definition 2** A gradual subset  $G$  of  $S$  is defined by an assign-  
 180 ment function  $\mathcal{A}_G$  from  $L^+$  to  $2^S$ .

181 *Example 2* Consider the gradual subset  $G$  of the set  $S$ :

182 
$$\mathcal{A}_G(1) = \{s_1, s_2\}; \mathcal{A}_G(0.75) = \{s_1\};$$
  
 183 
$$\mathcal{A}_G(0.5) = \{s_2, s_3\}; \mathcal{A}_G(0.25) = \{s_1, s_2\}.$$

184 The images of the assignment function are not nested.

185 A fuzzy set is then a special kind of gradual set. The assign-  
 186 ment function of a crisp set is constant. A single gradual  
 187 element  $e$  yields a gradual singleton  $E$  by letting  $\mathcal{A}_E(\lambda) =$   
 188  $\{\mathcal{A}_e(\lambda)\}, \quad \forall \lambda \in L^+$ . More generally, a set of gradual ele-  
 189 ments forms a gradual set of  $S$ :

190 **Definition 3** The gradual set  $G$  induced by the family of  
 191 gradual elements  $e_1, \dots, e_k$  in  $S$  with assignment functions  
 192  $\mathcal{A}_1, \dots, \mathcal{A}_k$ , has its assignment function  $\mathcal{A}_G$  defined by

193 
$$\mathcal{A}_G(\lambda) = \{\mathcal{A}_1(\lambda), \dots, \mathcal{A}_k(\lambda)\}, \quad \forall \lambda \in L^+.$$

194 *Example 3* The fuzzy element  $e$  in Example 1 induces a  
 195 gradual singleton  $\{e\} : \mathcal{A}_{\{e\}}(1) = \{s_1\}; \mathcal{A}_{\{e\}}(0.25) = \{s_1\};$   
 196  $\mathcal{A}_{\{e\}}(0.5) = \{s_2\}; \mathcal{A}_{\{e\}}(0.25) = \{s_1\}.$

197 It is possible to define a regular fuzzy set from a gradual  
 198 subset defined via its assignment function  $\mathcal{A}_G(\cdot)$ . One natural  
 199 approach is as follows:

**Definition 4** The membership function of the fuzzy set  $F(G)$   
 induced by the gradual set with assignment function  $\mathcal{A}_G$  is

202 
$$\mu_{F(G)}(s) = \sup\{\lambda, s \in \mathcal{A}_G(\lambda)\}$$
  
 203 
$$= 0 \quad \text{if } \nexists \lambda, s \in \mathcal{A}_G(\lambda).$$

204 *Example 4* The gradual set  $G$  in Example 2 induces the fuzzy  
 205 set  $F : \mu_{F(G)}(s_1) = \mu_{F(G)}(s_2) = 1; \mu_{F(G)}(s_3) = 0.5.$

206 The supremum is motivated by the idea that a set is the  
 207 union of the singletons it contains. It is also in agreement  
 208 with the representations of fuzzy sets in terms of its  $\lambda$ -cuts.  
 209 A fuzzy set  $F$  clearly may correspond to several gradual  
 210 sets, that form an equivalence class, even if we may consider  
 211 the  $\lambda$ -cut representation as the canonical (nested) gradual set  
 212 representative of  $F$ .

213 2.2 Fuzzy sets induced by gradual elements

214 Using Definitions 3 and 4, the fuzzy set induced from a set  
 215 of gradual elements  $e_1, \dots, e_k$  is  $F$  such that

216 
$$\mu_F(s) = \max_{i=1, \dots, k} \sup\{\lambda_i, s \in \mathcal{A}_i(\lambda_i)\}. \quad (1)$$

217 The set of gradual elements is said to generate the fuzzy set  
 218  $F$ . For instance, a single gradual element  $e$  having an injec-  
 219 tive assignment function yields a fuzzy set  $F(e)$  by letting  
 220  $\mu_{F(e)}(s) = \lambda$  if and only if  $\mathcal{A}_e(\lambda) = s$ , i.e.,  $\mu_{F(e)}$  is the  
 221 inverse of the assignment function  $\mathcal{A}_e$ . In the non-injective  
 222 case, we get  $\mu_{F(e)}(s) = \sup\{\lambda, s \in \mathcal{A}_e(\lambda)\}$ , so as to account  
 223 for the best representativeness level of  $s$  w.r.t  $e$ . If  $e$  is a crisp  
 224 element  $s$ , then  $F(e)$  is the singleton  $\{s\}$ . But it is not clear  
 225 that, in general, a fuzzy set  $F$  induced by a single gradual  
 226 element can be called a fuzzy singleton. Indeed, the cuts of  
 227  $F(e)$  will generally not only contain a single element.

228 *Example 5* The gradual element  $G$  in Example 1 induces the  
 229 fuzzy set  $F(e) : \mu_{F(e)}(s_1) = 1; \mu_{F(e)}(s_2) = 0.5; \mu_{F(e)}(s_3) =$   
 230  $0.$

231 Nevertheless, if  $F$  is the fuzzy set induced by the family  
 232 of gradual elements  $e_1, \dots, e_k$  in  $S$ , then Eq. (1) reads  $F =$   
 233  $F(e_1) \cup F(e_2) \cup \dots \cup F(e_n)$  (in the sense of the maximum).

234 So, in some sense, despite the dubious singleton status of  
 235 fuzzy sets generated by single gradual elements, a fuzzy set  
 236 is still viewed as the collection of gradual elements that gener-  
 237 ates it. But as seen later a fuzzy set may contain many more  
 238 gradual elements than those that generated it. The notion of  
 239 fuzzy singleton is difficult to define without a convenient  
 240 notion of gradual element, when  $S$  is only equipped with the  
 241 usual equality relation, as singletons are basically construed  
 242 as the quotient set  $S/ =$  (see [Hoehle 1998](#)), hence are crisp.  
 243 In the literature (especially in fuzzy topology) a fuzzy sin-  
 244 gleton is viewed as a fuzzy set whose support is a singleton  
 245 and the member of this singleton has a membership grade

that can be less than 1. Let alone the fact that it is most of the time an anomalous fuzzy set (since empty to some extent), it cannot be defined as some quotient set w.r.t an equality relation. In contrast, the notion of gradual singleton is clear (a mapping from  $L^+$  to the set of singletons of  $S$ ). Gradual singletons are singletons trivially induced by the set of gradual elements of  $S$  equipped by the equality relation defined by  $e = e'$  if and only if  $\mathcal{A}_e = \mathcal{A}_{e'}$ .

### 2.3 Picking elements in a fuzzy set

An interesting question is how to pick an element in a fuzzy set. This is not so easy if the notion of gradual element is absent. Indeed, then only crisp elements can be picked. Either we pick an element in the core of  $F$ , but then one may argue that the fuzzy feature of the set is never accounted for; or we pick an element in the support. But, then one may argue that picking an element outside the core is not legitimate, since these elements are not totally representative of the fuzzy set. And picking an element with the associated membership grade is hard to interpret.

Now, looking at a gradual set  $G$ , it is clear that the idea of picking an element in a set is naturally generalized by picking an element in each set  $\mathcal{A}_G(\lambda)$ . So, gradual sets contain gradual elements. So, a gradual element in a fuzzy set  $F$  is naturally defined as one obtained by picking an element in each cut of  $F$ .

**Definition 5** A gradual element  $e$  is said to belong to a fuzzy set  $F$  if and only if  $\forall \lambda \in L^+, \mathcal{A}_e(\lambda) = s_\lambda \in F_\lambda$ .

For instance, the fuzzy element  $e$  of Example 1 belongs to the fuzzy set  $F(G)$  of Example 4. Mathematically, a gradual element in  $F$  is a *selection function* from the multivalued mapping  $\alpha \rightarrow F_\alpha$  defining the cuts of  $F$ . In other words, a gradual element  $e$  belongs to a fuzzy set  $F$  if and only if  $\forall s \in S$ , if  $\exists \lambda \in L^+, \mathcal{A}_e(\lambda) = s$ , then  $\mu_F(s) \geq \lambda$ . In some sense  $F$  collects all gradual elements  $e$  such that

$$\mu_F(\mathcal{A}_e(\lambda)) \geq \lambda, \quad \forall \lambda \in L^+. \quad (2)$$

Namely,  $\mu_F(\mathcal{A}_e(\lambda)) \geq \lambda$  if and only if  $\mathcal{A}_e(\lambda) \in F_\lambda$ . The following simple condition is characteristic of the membership of a gradual element in a fuzzy set:

**Proposition 1** A gradual element  $e$  belongs to  $F$  if and only if  $F(e) \subseteq F$  (that is,  $\mu_{F(e)} \leq \mu_F$ ).

*Proof* Since  $e$  belongs to  $F$  means Eq. (2) holds, it also reads  $\forall s$ , if  $s = \mathcal{A}_e(\lambda)$ , then  $\mu_F(s) \geq \lambda$ . So  $\mu_F(s) \geq \sup\{\lambda, s = \mathcal{A}_e(\lambda)\}$ .  $\square$

We can write, using the idempotent fuzzy set union,  $F = \bigcup_{e \in F} F(e)$ . In particular the only crisp elements in  $F$  are those in its core. Each gradual element  $e$  in a gradual set  $G$

belongs to the fuzzy set  $F(G)$  induced by  $G$ . Namely:  $\forall s$ , if  $\mathcal{A}_e(\lambda) = s$ , then  $s \in \mathcal{A}_G(\lambda)$  hence  $\mu_{F(G)}(s) \geq \lambda$ . So if  $F$  is generated by a collection of gradual elements, each of these gradual elements belongs to  $F$ . Of course, the family of generators of  $F$  is clearly not unique. The set of gradual elements of  $F$  is the maximal family generating  $F$ .

An interesting question is to find minimal families of gradual elements generating a fuzzy set  $F$ . One idea is to start from sections of  $F$ . A gradual element  $e$  of  $F$  can be built as follows:  $\forall \lambda_i \in L_F$ , pick an element  $s_i \in \mathcal{A}_F^-(\lambda_i)$ , the  $\lambda_i$ -section. Define  $\mathcal{A}_e(\lambda_i) = s_i$ . Then  $\forall \lambda \notin L_F$ , let  $\mathcal{A}_e(\lambda) = \mathcal{A}_e(\lambda_i)$ , where  $\lambda_i = \inf\{\alpha > \lambda, \alpha \in L_F\}$ . If the cardinality of  $\mathcal{A}_F^-(\lambda_i)$  is  $n_i$ , the number of such generating gradual elements is  $\prod_{\lambda_i \in L_F} n_i$ . This set  $\mathcal{G}$  of gradual elements clearly generates  $F$ .

A minimal set  $\mathcal{G}^{\min}$  of gradual elements generating  $F$  can be built as follows: It contains  $\max_i n_i$  elements  $e_i \in \mathcal{G}^{\min}$  such that  $\forall \lambda \neq 1 \in L_F, i \neq j, \mathcal{A}_{e_i}(\lambda) \neq \mathcal{A}_{e_j}(\lambda)$ . It consists in emptying recursively the sections of  $F$  by picking an element in each  $\lambda_i$ -section of  $F$ , building the corresponding gradual element of  $\mathcal{G}$  and doing it again until all sections are empty. When a section other than the core becomes empty, it is no longer picked from. When only one element remains in the core, it is kept and used repeatedly for building subsequent gradual elements until all other sections get empty.

*Example 6* Consider a fuzzy set  $F$  such that  $\mu_F(s_1) = \mu_F(s_2) = 1, \mu_F(s_3) = 0.7, \mu_F(s_4) = \mu_F(s_5) = \mu_F(s_6) = 0.3$ . First build  $e_1$ , picking  $s_1, s_3, s_4$ . Then  $\mathcal{A}_{e_1}(\lambda) = s_1$  if  $\lambda \in (0.7, 1], s_3$  if  $\lambda \in (0.3, 0.7], s_4$  if  $\lambda \in (0, 0.3]$ . Then the 0.7-section is empty. Next, build  $e_2$ , picking  $s_2, s_5$ . Then  $\mathcal{A}_{e_2}(\lambda) = s_2$  if  $\lambda \in (0.3, 1], s_5$  if  $\lambda \in (0, 0.3]$ . Then the core is empty, so we keep  $s_2$ . Build  $e_3$ , picking  $s_2, s_6$ . Then  $\mathcal{A}_{e_3}(\lambda) = s_2$  if  $\lambda \in (0.3, 1], s_6$  if  $\lambda \in (0, 0.3]$ .

By construction, if  $\mu_F(s) = \lambda_i \neq 1$ , there is a unique gradual element  $e_j \in \mathcal{G}^{\min}$  such that  $\mathcal{A}_{e_j}(\lambda_i) = s$ . For all other  $e_k \in \mathcal{G}^{\min}$ , there is no  $\lambda \in L_F$  such that  $\mathcal{A}_{e_k}(\lambda) = s$ . Hence, if  $F^{-j}$  denotes the fuzzy set generated by  $\mathcal{G}^{\min} \setminus \{e_j\}$ , it is clear that  $\mu_{F^{-j}}(s) = 0$ . If  $\mu_F(s) = 1$ , then either there is a singleton  $e_j = \{s\} \in \mathcal{G}^{\min}$ , so  $\mu_{F^{-j}}(s) = 0$ , or  $\mathcal{A}_{e_j}(1) = s$  for a non-crisp gradual element  $e_j$ , and  $\mu_{F^{-j}}(s') = 0$  for some other element  $s'$  of the support of  $F$ . Hence  $\mathcal{G}^{\min}$  is a minimal set of gradual elements generating  $F$ .

### 2.4 The degree of membership of a gradual element in a fuzzy set

Let  $e$  be a gradual element of  $S$  and  $F$  be a fuzzy subset of  $S$ , such that  $F(e) \not\subseteq F$ . We may try to compute the degree of membership of this gradual element in the fuzzy set  $F$ . Naturally, this degree will be a gradual element of  $L$ .



**Definition 6** The degree of membership of a gradual element  $e$  in a fuzzy set  $F$  is a gradual element  $\mu(e)$  of  $L$  defined by its assignment function  $\mathcal{A}_{e \in F}$  such that

$$\mathcal{A}_{e \in F}(\lambda) = \mu_F(\mathcal{A}_e(\lambda)), \quad \forall \lambda \in L^+.$$

The lattice element  $\mathcal{A}_{e \in F}(\lambda) \in L$  is a representative value of the membership grade of  $e$  in  $F$  to degree  $\lambda$ . Note that the obtained fuzzy degree of membership does not express imprecision. It just reflects the gradual nature of the fuzzy set and of the gradual element. Suppose  $e = s$  is a regular element. Then,  $\mathcal{A}_{e \in F}(\lambda) = \mu_F(s)$ ,  $\forall \lambda \in L^+$ .

Suppose  $e$  belongs to  $F$  in the sense of Definition 5. Then, by construction  $\mathcal{A}_{e \in F} \geq Id_L$  the identity function of  $L$ . If  $F$  is not fuzzy, say a subset  $A$ , then  $\mathcal{A}_{e \in A}(\lambda) = 1$  if  $\mathcal{A}_e(\lambda) \in A$ , and 0 otherwise. In other words,  $e$  belongs to  $A$  or does not belong to  $A$  according to its representative, indexed by  $\lambda$ . Then  $e$  fully belongs to  $A$  provided that  $\mathcal{A}_{e \in F}(\lambda) = 1$ ,  $\forall \lambda > 0$ . We can consider  $\sup\{\lambda, \mathcal{A}_e(\lambda) \in A\}$  as the degree of membership of  $e$  in  $A$ , but this must be properly understood as the extent to which a gradual element belongs to a crisp set (the gradual nature of membership is not due to the set  $A$ ). Alternatively, this degree could be defined as  $\inf\{\lambda, \mathcal{A}_e(\lambda) \in A\}$ . However the whole range  $\{\lambda, \mathcal{A}_e(\lambda) \in A\}$  is more representative of this evaluation. More generally, one might compute a scalar degree of membership of  $e$  in  $F$  by considering the measure of the set  $\{\lambda, \mathcal{A}_e(\lambda) \in F_\lambda\}$  (it may be the Lebesgue measure if  $L = [0, 1]$ , which is 1 when  $e \in F$ ).

Note that defining a probability measure on  $L^+$  changes a gradual set into a random set and a gradual element into a probability distribution. The latter fact emphasises the idea that a gradual element is not imprecise. If  $L$  is the unit interval, and  $L_F = \{1 = \lambda_1 > \dots > \lambda_k\}$ , a canonical way of changing a fuzzy set into a random set is to assign probability mass  $\lambda_i - \lambda_{i+1}$  to the cut  $F_{\lambda_i}$ ,  $\forall i = 1 \dots k$ . This idea goes back to Yager (1982), Goodman (1982), Dubois and Prade (1982). A similar procedure can be adopted for turning a gradual element  $e$  into a probability distribution, assigning probability  $\lambda_i - \lambda_{i+1}$  to element  $s_i = \mathcal{A}_e(\lambda_i)$ . The meaning of this probability can be the degree of “stability” of representative element  $s_i$  for  $e$ . The gap between  $\lambda_i$  and  $\lambda_{i+1}$  then measures the reluctance to give up  $s_i$  for  $s_{i+1}$  as the proper representative of the gradual element  $e$ . For instance, this gap is of size 1 for a crisp element, indicating maximal stability. Then  $\lambda_i - \lambda_{i+1}$  is the probability of picking  $s_i$ , in the sense that the more stable a crisp representative, the more likely it will be picked.

### 2.5 Fuzzy connectives and gradual sets

The next step is to show that connectives of fuzzy set theory are consistent with the notion of gradual element and gradual set. The union and intersection of gradual sets  $G_1$  and

$G_2$  can be defined by the classical union and intersection of representatives to the same degree<sup>1</sup>:

$$\mathcal{A}_{G_1 \cup G_2}(\lambda) = \mathcal{A}_{G_1}(\lambda) \cup \mathcal{A}_{G_2}(\lambda);$$

$$\mathcal{A}_{G_1 \cap G_2}(\lambda) = \mathcal{A}_{G_1}(\lambda) \cap \mathcal{A}_{G_2}(\lambda).$$

By construction, this definition is consistent with the usual idempotent fuzzy set connectives, namely if  $F(G)$  is the fuzzy set induced by  $G$ , then:

$$\mu_{F(G_1 \cup G_2)}(s) = \max(\mu_{F(G_1)}(s), \mu_{F(G_2)}(s));$$

$$\mu_{F(G_1 \cap G_2)}(s) = \min(\mu_{F(G_1)}(s), \mu_{F(G_2)}(s)).$$

However one may also consider other connectives for gradual sets where unions

$$\mathcal{A}_{G_1 \cup G_2}(\lambda, \nu) = \mathcal{A}_{G_1}(\lambda) \cup \mathcal{A}_{G_2}(\nu),$$

for all  $\lambda \in \text{Dom}(\mathcal{A}_{G_1})$ ,  $\nu \in \text{Dom}(\mathcal{A}_{G_2})$ , are computed, using  $L \times L$  as a new relevance scale. Ultimately, the definition of a connective might depend on the design of a “correlation map” between the two gradual sets indicating which pairs of realizations of the gradual sets go together, the former definition pairing sets with equal representativeness, the latter accepting all pairs. This is the path to follow if other connectives than the idempotent ones are to be retrieved.

The complement  $G^c$  of a gradual set  $G$  can be defined levelwise as  $\mathcal{A}_{G^c}(\lambda) = \mathcal{A}_G(\lambda)^c$ , but this definition is not in agreement with fuzzy set complementation since the  $\lambda$ -cut of the fuzzy set  $F^c$  is not the complement of the  $\lambda$ -cut of  $F$ . In order to preserve consistency with the usual fuzzy complement, one must assume that the correspondence between representatives of  $G$  and of  $G^c$  is a negative correlation, and presuppose the existence of an order-reversing map  $\text{neg}$  on  $L$  exchanging 0 and 1. If  $\mathcal{A}_{G^c}(\lambda)$  is defined as  $\mathcal{A}_G(\nu)^c$ , where  $\nu = \inf\{\alpha > \text{neg}(\lambda)\}$ , then consistency with fuzzy set complementation can be restored. It comes down to a special case of permutation of cuts introduced by Ralescu (1992).

### 3 Examples and applications

There are many situations where gradual elements naturally appear. The first general situation is when extending scalar evaluation of sets by means of some index, like cardinality, measure, distance, and so on, to fuzzy sets. To this end, some try to evaluate an average over the cuts of the fuzzy set (using a Choquet integral for instance). Another path is to preserve a genuinely fuzzy index. More often than not, it has been assumed that if a set has a precise evaluation, a fuzzy set should have a fuzzy-valued evaluation interpreted as being

<sup>1</sup> However if assignment functions are partially defined and  $\text{Dom}(\mathcal{A}_{G_1}) \neq \text{Dom}(\mathcal{A}_{G_2})$ , we must consider the extensions  $\mathcal{A}_{G_1}^*$  and  $\mathcal{A}_{G_2}^*$  restricted to  $\text{Dom}(\mathcal{A}_{G_1}) \cup \text{Dom}(\mathcal{A}_{G_2})$ .

imprecise. However, the above discussion does not suggest it: since a scalar evaluation of a set yields a precise number, the scalar evaluation of a fuzzy set should be a fuzzy (gradual but not imprecise) element in the range of the index. The same idea applies when extending the concept of optimum of a function on a domain to the optimum on a fuzzy domain. Finally, gradual numbers shed light on the nature of fuzzy intervals, and on the concept of defuzzification.

### 3.1 Fuzzy cardinality

Fuzzy-valued cardinality  $\text{CARD}(F)$  of a fuzzy set  $F$  on a finite set  $S$  was defined by Zadeh (1979) as a fuzzy subset of integers having membership function

$$\mu_{\text{CARD}(F)}(n) = \sup\{\alpha, \text{CARD}(F_\alpha) \geq n\}, \quad \forall n = 0, 1, 2, \dots$$

The fuzzy cardinality of fuzzy sets has been a topic of debate and many proposals appeared in the 1980s. See the monograph of Wygralak (1996) for a survey of various proposals. It is clear that the fuzzy-valued cardinality of a fuzzy set has been more often than not envisaged as another fuzzy set of integers representing various possible values of the actual cardinality of the fuzzy set (hence involving some imprecision, see for instance Dubois and Prade (1985) who interpret a fuzzy cardinality as a possibility distribution). However such a fuzzy set of integers has an extremely particular shape (strictly decreasing membership function on its support), and interpreting it as expressing a lack of knowledge about the cardinality of  $F$  is debatable, insofar as  $F$  is interpreted as a set having gradual boundaries (and not as an ill-known set).

On the contrary,  $\text{CARD}(F)$  is quite a refined description of the cardinality of  $F$  where the gradual nature of the set is reflected on the integer scale. In fact, fuzzy cardinality is naturally described by the following injective assignment function:

$$\mathcal{A}_{\text{CARD}(F)}(\alpha) = \text{CARD}(F_\alpha), \quad \forall \alpha \in L_F.$$

Integers are defined as cardinalities of (finite) sets. Hence we may claim that the fuzzy cardinality of a fuzzy set is precisely a gradual integer in the sense of a gradual element in the set of integers. For instance, the number of “young” employees in a firm is a gradual integer, if the fuzzy set “young” has a well-defined membership, which expresses a flexible (rather than ill-defined) query to a database. Delgado et al. (2002) consider the cardinality of a fuzzy set is a probability distribution on the set of natural integers where the probability of  $\text{CARD}(F) = i$  is of the form  $\lambda_j - \lambda_{j+1}$  where the  $\mathcal{A}_{\text{CARD}(F)}(\lambda_j) = i$ . This is also in the spirit of this paper, if we assume  $F$  is actually a consonant random set, so that its cardinality is a random integer.

Fuzzy relative cardinality  $\text{CARD}(F | C)$  can be treated likewise as a gradual number assigning to each membership value  $\alpha$  the relative cardinality  $\frac{\text{CARD}(F_\alpha \cap C_\alpha)}{\text{CARD}(C_\alpha)}$ , the proposal by

Delgado et al. (2002) being similar, but assuming a uniform probability distribution on  $[0, 1]$ .

In the same vein, the fuzzy probability of a fuzzy event  $F$  is a gradual element  $P(F)$  of the unit interval with assignment function:

$$\mathcal{A}_{P(F)}(\alpha) = P(F_\alpha), \quad \forall \alpha \in L_F,$$

rather than an imprecise (fuzzy interval-valued) probability.

The fuzzy Hausdorff distance between two fuzzy sets  $F$  and  $G$  generalises the Hausdorff distance  $d$  between sets: it can be viewed as the gradual number  $d(F, G)$  with assignment function (Dubois and Prade 1984):

$$\mathcal{A}_{d(F,G)}(\lambda) = d(F_\lambda, G_\lambda),$$

rather than an imprecise distance. It is clear this assignment function has no special regularity. It is also true for the one of relative cardinality, contrary to fuzzy absolute cardinality. Such assignment functions can hardly be understood as fuzzy sets.

### 3.2 Gradual real numbers and fuzzy optimization

What is often called a fuzzy number is a fuzzy set of numbers whose cuts are intervals. Such fuzzy numbers account for both imprecision and fuzziness (regardless of whether their cores are reduced to a point or not). Their addition does not collapse to the regular addition on the real line when fuzziness is removed. It yields interval addition. Hence, the name “fuzzy number”, used by many authors (including the authors of this paper) is debatable (even when its core reduces to a single number). This issue was a topic of (unresolved) debates in early Linz Seminars on Fuzzy sets between pure mathematicians and applied ones (see Klement 1979, pp. 139–140). In contrast, we here take it for granted that a “fuzzy real number” should be a gradual element of the real line, which should be a number for each relevance level. To avoid confusion we call it a *gradual (real) number*. Mathematically, a gradual real number  $\tilde{r}$  can be modeled by a function  $\mathcal{A}_{\tilde{r}}$  from the unit interval to the real line (and not the converse). Note that we do not require monotonicity of the function so that some gradual numbers cannot be interpreted as membership functions (a number would then sometimes have more than one membership degree...).

A monotonic and continuous gradual number is called a *fuzzy threshold* Dubois (1987). The idea is to model a fuzzy boundary between two regions of the real line. This fuzzy boundary is not an ill-known precise boundary, only a gradual one, i.e. it indicates only a gradual transition between two regions. Fuzzy thresholds are instrumental in fuzzy linear programming, for the definition of fuzzy linear constraints (Zimmermann 1976). Namely, linear constraints of the form  $\sum_{i=1}^n a_i x_i \leq b$  become fuzzy by turning coefficient  $b$  into a

529 fuzzy threshold. Note that this methodology does not intro-  
 530 duce uncertainty in linear programming, only flexibility, by  
 531 means of some gradual relaxation of the crisp constraint. The  
 532 set of feasible solutions then becomes a fuzzy set, but the gra-  
 533 dual boundary obtained by fuzzifying  $b$  is not a fuzzy set: it  
 534 is a gradual number.

535 The notions of gradual number and gradual set actually  
 536 shed some light on the ill-understood problem of maximiz-  
 537 ing a numerical function  $f$  over a fuzzy domain  $\mathcal{D}$  of the  
 538 real line, a topic at the heart of fuzzy mathematical program-  
 539 ming. In their 1980 book (p. 102), [Dubois and Prade \(1980\)](#)  
 540 notice that there are two approaches to this problem: the first  
 541 approach stems from [Bellman and Zadeh \(1970\)](#); it consists  
 542 in first turning the function  $f$  into a so-called maximizing  
 543 set  $M$ , which is a fuzzy set obtained by rescaling the func-  
 544 tion  $f$  within the unit interval; then an optimal solution  $x^*$   
 545 of the fuzzy optimization problem is defined as one that maxi-  
 546 mizes  $\min(\mu_{\mathcal{D}}(x), \mu_M(x))$ . This approach may be question-  
 547 ed because the optimal solution crucially depends on the  
 548 scaling method that enables the comparison of the degree  
 549 of attainment of the goal, expressed by  $f$ , by a solution,  
 550 and the degrees of feasibility of this solution. Yet, this is  
 551 the methodology followed by the majority of researchers in  
 552 fuzzy optimisation, starting with [Tanaka et al. \(1974\)](#), and  
 553 [Zimmermann \(1976\)](#).

554 Quite in the same years, [Orlovsky \(1977\)](#) suggested quite  
 555 a different approach. The idea was to define the optimum of  
 556  $f$  over  $\mathcal{D}$  as a fuzzy set. Namely, for each  $\lambda \in (0, 1]$ , con-  
 557 sider a supposedly unique solution  $x_\lambda^*$  maximizing  $f$  over the  
 558 crisp domain  $(\mathcal{D})_\lambda$ . It is clear that, varying  $\lambda$ , a fuzzy optimal  
 559 solution is obtained under the form of a gradual element  $x^*$   
 560 of  $\mathcal{D}$  such that  $\mathcal{A}_{x^*}(\lambda) = x_\lambda$ . The set of fuzzy optimal solu-  
 561 tions forms a gradual set, with assignment function  $\mathcal{A}_{X^*}$  that  
 562 assigns to each feasibility level  $\lambda$  the set  $X_\lambda^*$  of optimal solu-  
 563 tions of  $f$  over the crisp domain  $(\mathcal{D})_\lambda$ . Note the sets  $X_\lambda^*$   
 564 are generally NOT nested. [Orlovsky \(1977\)](#) defines the fuzzy set  
 565 of maximizing solutions precisely as the fuzzy set  $N$  induced  
 566 by the gradual set  $X^*$  using Definition 4. The fuzzy optimum  
 567 attained is then  $f(N)$  defined using the extension principle.  
 568 However it is clear that one could define this fuzzy maximum  
 569 as the gradual set defined by  $f(X_\lambda^*), \forall \lambda \in (0, 1]$ . This view  
 570 is also very close to the parametric programming approach to  
 571 fuzzy linear programming first proposed by [Chanas \(1983\)](#).

572 3.3 The arithmetics of gradual numbers

573 Algebraic structures of numbers (like groups) should be pre-  
 574 served for the most part when moving from real numbers  
 575 to gradual real numbers (while fuzzy intervals just preserve  
 576 algebraic properties of intervals). Indeed, let  $\tilde{r}_1$  and  $\tilde{r}_2$  be  
 577 two gradual numbers with assignment functions  $\mathcal{A}_1$  and  $\mathcal{A}_2$   
 578 (mappings from  $(0, 1]$  to the reals). Any operation  $*$  between  
 579 reals can be extended to gradual numbers as follows:  $\tilde{r}_1 * \tilde{r}_2$

has assignment function  $\mathcal{A}_{1*2}$  such that

$$\forall \lambda \in (0, 1], \mathcal{A}_{1*2}(\lambda) = \mathcal{A}_1(\lambda) * \mathcal{A}_2(\lambda).$$

Under this definition, it is obvious that, for instance, the  
 set of gradual numbers forms an Abelian group for the addi-  
 tion, and that regular inverses exist ( $\mathcal{A}_{-\tilde{r}}(\lambda) = -\mathcal{A}_{\tilde{r}}(\lambda)$ ).  
 However it is clear that extended operations performed on  
 (monotonic) fuzzy thresholds are not closed: if  $\tilde{r}_1$  and  $\tilde{r}_2$  are  
 monotonically increasing,  $\tilde{r}_1 - \tilde{r}_2$  may not be so (hence the  
 necessity not to restrict to monotonic gradual numbers).

Note that arithmetic operations extended to gradual  
 integers can solve the following paradox: the set difference  
 $A \setminus A$  is the empty set, as is the fuzzy set difference  $F \setminus F$   
 computed for instance as  $F \cap F^c$  using Lukasiewicz conjunc-  
 tions. The cardinality of  $A \setminus A$  is  $\text{CARD}(A) - \text{CARD}(A) = 0$ .  
 So should be  $\text{CARD}(F) - \text{CARD}(F)$ . It is clear that consider-  
 ing the fuzzy cardinality  $\text{CARD}(F)$  as a fuzzy set of integers  
 and applying the extension principle to compute  $\text{CARD}(F) -$   
 $\text{CARD}(F)$  yields a symmetric fuzzy set of integers around  
 0, which is counterintuitive. But viewed as an operation bet-  
 ween gradual integers,  $\text{CARD}(F) - \text{CARD}(F) = 0$ . In fact,  
 we can retrieve the additivity property for fuzzy cardinality  
 i.e.

$$\begin{aligned} \text{CARD}(F_1) + \text{CARD}(F_2) &= \text{CARD}(F_1 \cup F_2) \\ &\quad + \text{CARD}(F_1 \cap F_2), \end{aligned}$$

and this is still equivalent to

$$\begin{aligned} \text{CARD}(F_1) + \text{CARD}(F_2) - \text{CARD}(F_1 \cup F_2) \\ - \text{CARD}(F_1 \cap F_2) &= 0. \end{aligned}$$

Moreover, if we consider (in the spirit of [Rocacher and Bosc 2003](#)) the set of “natural gradual integers” as all gra-  
 dual elements on the set of integers of the form  $\text{CARD}(F)$   
 for some finite fuzzy set  $F$  (hence assignment functions are  
 decreasing), the set of gradual elements of the relative inte-  
 gers (gradual relative integers for short) can be obtained as  
 $\text{CARD}(F) - \text{CARD}(G)$  for some finite fuzzy sets  $F$  and  $G$ .  
 To see it, it is enough to consider a gradual relative integer  
 $z$  as a sequence of (usual) relative integers  $k_1, \dots, k_n$ , such  
 that  $\mathcal{A}_z(k_i) = \lambda_i$  and to notice the following result:

**Proposition 2** *Let  $k_1, \dots, k_n$  be any finite sequence of rela-  
 tive integers. There exist two increasing sequences  $x_1, \dots, x_n$   
 and  $y_1, \dots, y_n$  of non-negative integers, such that  $\forall i =$   
 $1 \dots n, k_i = x_i - y_i$ .*

*Proof* Define  $x_1 = \max(k_1, 0)$  and  $y_1 = \max(-k_1, 0)$  and,  
 for  $i > 1$ ,

$$y_i = y_{i-1} + 1 + \max(0, k_{i-1} - k_i),$$

and of course  $x_i = y_i + k_i$ . Clearly,  $y_1 \geq 0, y_i > y_{i-1}, \forall i >$   
 $1$  as well. For  $x_i$ , a simple recursion will do. Clearly,

626  $x_2 > x_1 \geq 0$ . Assume  $x_k > 0$  for  $k = 2, \dots, i - 1$ . Then

627  $x_i = x_{i-1} - k_{i-1} + k_i + 1 + \max(0, k_{i-1} - k_i)$ .

628 If  $k_{i-1} - k_i < 0$  then  $x_i = x_{i-1} - k_{i-1} + k_i + 1 > x_{i-1}$ .

629 If  $k_{i-1} - k_i \geq 0$  then  $x_i = x_{i-1} + 1 > x_{i-1}$ .  $\square$

630 For any fuzzy relative integer  $\tilde{z}$ , the sequences  $x_1, \dots, x_n$   
 631 and  $y_1, \dots, y_n$  define gradual natural integers  $\tilde{x}$  and  $\tilde{y}$  such  
 632 that  $\tilde{z} = \tilde{x} - \tilde{y}$ . In this sense, the set of gradual natural integers  
 633 (understood as cardinalities of finite fuzzy sets) generates all  
 634 gradual relative integers, via a canonical subtraction.

635 3.4 Fuzzy intervals as crisp intervals of gradual numbers

636 A fuzzy interval  $M$  is a normalized fuzzy set of reals with  
 637 membership function  $\mu_M$  such that

- 638 – its core (the 1-cut of  $M$ ) is a closed interval  $[m^-, m^+]$ ;
- 639 – its support  $\{x | \mu_M(x) > 0\}$  is an open interval;
- 640 – it has a non-decreasing part, denoted  $\mu_{M^-}$ , on  $(-\infty, m^-]$ ;
- 641 – it has a non-increasing part, denoted  $\mu_{M^+}$ , on  $[m^+, +\infty)$ .

642 The notion of gradual element enables a fuzzy interval to be  
 643 defined as a pair of fuzzy thresholds having opposite mono-  
 644 tonicities, and bounding gradual numbers, just as an interval  
 645 is modeled by an ordered pair of numbers that are its bounds.  
 646 In Dubois et al. (2004), such fuzzy thresholds are called pro-  
 647 files, which we consider here as genuine gradual numbers.  
 648 Viewing a fuzzy interval as a fuzzy set of reals limited by two  
 649 gradual numbers, enables classical interval analysis Moore  
 650 (1979) to be directly applied to fuzzy intervals.

651 As  $[a, b]$  stands for the set  $\{r : a \leq r \leq b\}$ , a fuzzy  
 652 interval  $M$  can be defined by an ordered pair of (monotonic)  
 653 gradual numbers  $(\tilde{m}^-, \tilde{m}^+)$ , with

654  $\mathcal{A}_{\tilde{m}^-} = (\mu_{M^-})^{-1}$ ,  $\mathcal{A}_{\tilde{m}^+} = (\mu_{M^+})^{-1}$

655  $M$  is viewed as the crisp interval  $[\tilde{m}^-, \tilde{m}^+]$  in a space of  
 656 functions, and stands for a crisp interval of gradual numbers  
 657  $\{\tilde{r} : (\mu_{M^-})^{-1} \leq \mathcal{A}_{\tilde{r}} \leq (\mu_{M^+})^{-1}\}$ .

658 In standard interval analysis, the problem is to find the  
 659 lower and the upper bounds of a function  $\varphi(x, y, z, \dots)$  when  
 660  $x, y, z, \dots$  range over intervals  $I, J, K, \dots$ . The tuples of  
 661 values called extreme configurations (the vertices of the  
 662 hyper-rectangle  $I \times J \times K \times \dots$ ) play a decisive role as candi-  
 663 dates for being tuples of values for which the optima of the  
 664 function  $f$  are reached, when the function is locally monotonic  
 665 (all functions obtained from  $\varphi$  by fixing all variables but  
 666 one are monotonic). For instance consider product of (not  
 667 necessarily positive) intervals

668  $[a, b] \cdot [c, d] = \{(x \cdot y) : x \in [a, b], y \in [c, d]\}$

669 The properties of product imply that we can restrict the com-  
 670 putation of  $x \cdot y$  to four extreme configurations  $(a, c)$ ,  $(b, c)$ ,

$(a, d)$ ,  $(b, d)$ :

671  $[a, b] \cdot [c, d] = [\min(a \cdot c, b \cdot c, a \cdot d, b \cdot d),$   
 672  $\max(a \cdot c, b \cdot c, a \cdot d, b \cdot d)].$  673

The product  $A \cdot B$  of two fuzzy intervals  $A$  and  $B$  is  
 674 defined by the extension principle of Zadeh ( $\varphi =$  product):  
 675  $\mu_{A \cdot B}(z) =$  676

677  $\begin{cases} \sup_{(x,y):z=x \cdot y} \min(\mu_A(x), \mu_B(y)) & \text{if } \exists(x, y) : z = x \cdot y \\ 0 & \text{otherwise} \end{cases}$

The usual method of fuzzy interval analysis is to perform  
 678 regular interval analysis on  $\alpha$ -cuts. Applying interval analysis  
 679 to fuzzy intervals viewed as intervals of gradual numbers can  
 680 now be envisaged:  $[\tilde{a}^-, \tilde{a}^+] \cdot [\tilde{b}^-, \tilde{b}^+] =$  681

682  $\{(\tilde{r} \cdot \tilde{s}) : (\mu_{A^-})^{-1} \leq \mathcal{A}_{\tilde{r}} \leq (\mu_{A^+})^{-1}, (\mu_{B^-})^{-1} \leq \mathcal{A}_{\tilde{s}}$   
 683  $\leq (\mu_{B^+})^{-1}\},$  (3)

684 where  $\tilde{r} \cdot \tilde{s}$  has an assignment function  $\mathcal{A}_{\tilde{r}} \cdot \mathcal{A}_{\tilde{s}}$ . It is clear that  
 685 the right hand side of Eq. (3) comes down to performing clas-  
 686 sical interval analysis at each level  $\lambda \in (0, 1]$ . From Nguyen  
 687 (1978) theorem, under weak assumptions  $(A \cdot B)_\lambda = A_\lambda \cdot B_\lambda$ .  
 688 Hence  $A \cdot B$  exactly coincides with  $[\tilde{a}^-, \tilde{a}^+] \cdot [\tilde{b}^-, \tilde{b}^+]$ , in the  
 689 sense of classical interval analysis. More generally, finding  
 690  $f(A, B)$  requires optimization over a set of gradual numbers  
 691 so as to find their fuzzy boundaries. For locally monotonic  
 692 functions, it is possible to restrict computations to the fuzzy  
 693 boundaries of fuzzy interval arguments (extreme fuzzy confi-  
 694 gurations). For product:

- 695 – Compute  $\mathcal{A}_{\tilde{a}^-} \cdot \mathcal{A}_{\tilde{b}^-}$ ,  $\mathcal{A}_{\tilde{a}^-} \cdot \mathcal{A}_{\tilde{b}^+}$ ,  $\mathcal{A}_{\tilde{a}^+} \cdot \mathcal{A}_{\tilde{b}^-}$ ,  $\mathcal{A}_{\tilde{a}^+} \cdot \mathcal{A}_{\tilde{b}^+}$ .
- 696 –  $A \cdot B$  is the fuzzy hull of these gradual numbers, obtained  
 697 by applying the expression providing the optimal bounds  
 698 in the interval case, replacing numbers by gradual num-  
 699 bers obtained above.

700 Some partial results may fail to be monotonic Dubois  
 701 et al. (2004), even if when putting all partial results toge-  
 702 ther, a genuine fuzzy interval is obtained in the end, due to  
 703 the equivalence between the interval analysis approach and  
 704 the extension principle.

705 Even if non-monotonic profiles appear as intermediary  
 706 results in the computation, the above approach still avoids the  
 707 pitfall of ending up with anomalous membership functions  
 708 (like the anti-fuzzy numbers of Goetschel 1997) due to a  
 709 definition of subtraction such that  $M + N = Q$  if and only  
 710 if  $M = Q - N$ , between fuzzy intervals. For instance, the  
 711 fuzzy interval bounded by the pair  $(a, \tilde{r})$  where  $a$  is a real  
 712 number and  $\mathcal{A}_{\tilde{r}}(\lambda) = a + (1 - \lambda)b$  (with  $b > 0$ ), should not  
 713 be confused with the gradual number  $\tilde{r}$  itself, when it comes  
 714 to performing subtraction. Indeed, while  $\tilde{r} - \tilde{r} = 0$ , interval  
 715 analysis yields:

716  $[a, \tilde{r}] - [a, \tilde{r}] = [a - \tilde{r}, \tilde{r} - a],$

717 which is an imprecise zero, but not zero. This is because  
718  $[a, \tilde{r}]$  is actually the fuzzy interval generated by the gradual  
719 real number  $\tilde{r}$ , as per Definition 4.

### 720 3.5 Defuzzification

721 A gradual number  $\tilde{r}$  can be defuzzified and it yields a regular  
722 number that “summarizes” it. The most obvious candidate  
723 defuzzification method is the Riemann integral

$$724 \quad r = \int_0^1 \mathcal{A}_{\tilde{r}}(\alpha) d\alpha.$$

725 It comes down to using the Lebesgue measure on the unit  
726 interval. For instance, defuzzifying the fuzzy cardinality  
727  $\text{CARD}(F)$  of a finite fuzzy set  $F$  yields its usual scalar car-  
728 dinality, namely:

$$729 \quad \int_0^1 \mathcal{A}_{\text{CARD}(F)}(\alpha) d\alpha = \sum_{s \in S} \mu_F(s).$$

730 Now, we can reconsider the problem of “defuzzifying” a  
731 fuzzy set of real numbers, understood as selecting a repre-  
732 sentative number for it. This vocabulary is not appropriate  
733 as explained earlier. The notion of mean interval of a fuzzy  
734 interval  $M$  was defined as follows (Dubois and Prade 1987):  
735 consider  $M$  as a pair of distribution functions  $(F_*, F^*)$   
736 where

$$737 \quad F^*(x) = \mu_M(x) \text{ for } x \leq \inf c(M)$$

738 ( $c(M)$  is the core of  $M$  with membership value 1), and

$$739 \quad F_*(x) = 1 - \mu_M(x) \text{ for } x \geq \sup c(M).$$

740 The mean interval is  $E(M) = [E_*(M), E^*(M)]$  where  
741  $E_*(M)$  (resp.  $E^*(M)$ ) is the expectation of the probability  
742 function with cumulative distribution  $F^*$  (resp.  $F_*$ ). This  
743 definition is justified from different points of view, as produ-  
744 cing the upper and lower expectations of the set of probability  
745 functions dominated by the possibility measure induced by  
746  $M$  Dubois and Prade (1987), but also as the mean  $\alpha$ -cut obtain-  
747 ed via an Aumann integral of the set-valued map associated  
748 to  $M$  (to each  $\alpha \in (0, 1]$  assign the  $\alpha$ -cut  $M_\alpha$ , i.e., a gradual  
749 set; see Ralescu 2002). This set-valued average is linear with  
750 the fuzzy addition and scalar multiplication. It corresponds  
751 to stripping  $M$  from its fuzziness, not of its imprecision. It  
752 is, literally, a defuzzification. The next step is to select a  
753 number in  $E(M)$  (for instance the mid-point, by symme-  
754 try; see Yager 1981). It provides a method for choosing  
755 a number representing a fuzzy set that is more natural than  
756 the center of area and the like. Using the notion of gradual  
757 number, one can exchange the steps of (genuine) defuzzifi-  
758 cation and selection. We can strip  $M$  from its imprecision,  
759 by selecting a gradual number  $\tilde{r}(M)$  in  $M$ , and then we can

defuzzify  $\tilde{r}(M)$ . A natural selection, in agreement with the  
symmetry argument is to pick the mid-point  $m_\alpha$  of all  $\alpha$ -cuts  
of  $M$ , and it defines a gradual number  $\tilde{m}(M)$ . Its (generally  
not monotonic) assignment function is  $\mathcal{A}_{\tilde{m}(M)}(\alpha) = m_\alpha$ .  
Now, we can defuzzify it, using the Riemann integral as sug-  
gested above. It is obvious that the obtained value  $\underline{m}(M)$   
is also the mid-point of the mean interval (or average cut),  
i.e.

$$\underline{m}(M) = (E^*(M) + E_*(M))/2.$$

It follows that in terms of gradual numbers,

$$\tilde{m}(M + N) = \tilde{m}(M) + \tilde{m}(N).$$

Besides, the defuzzified  $\underline{m}(M)$  is also equal to the mean value  
of the probability distribution obtained by randomizing the  
fuzzy number (in the style of Chanas and Nowakowski 1988):  
picking an element  $\alpha$  at random in  $(0, 1]$  and then a number  
at random in  $[m_\alpha^-, m_\alpha^+]$ : the obtained probability is the center  
of mass of the polyhedron restricting the set of probability  
functions induced by  $M$ . It is the random number obtained  
via the gradual number  $\underline{m}(M)$  when equipping the unit inter-  
val with a uniform probability distribution (also the Shapley  
value Shapley (1953) of the “unanimity game” generated by  
 $M$ ).

## 782 4 Related works

783 Mathematicians of fuzzy sets in the past have introduced the  
784 notion of a “fuzzy real number”, starting with Hutton (1975).  
785 Often, it takes the form of a decreasing mapping from the  
786 reals to the unit interval or a suitable lattice (Gantner et al.  
787 1978), or a probability distribution function (Lowen 1996).  
788 Arithmetic operations on fuzzy reals were studied by  
789 Rodabaugh (1982), and contrast with fuzzy arithmetics based  
790 on the extension principle. Hoehle (1987) especially empha-  
791 sized the role of fuzzy real numbers as modeling a fuzzy  
792 threshold softening the notion of Dedekind cut.

793 Recently, Rocacher and Bosc (2003) suggested to define  
794 what they call fuzzy natural integers as fuzzy cardinalities  
795 of fuzzy sets, defined as proposed by Zadeh, but interpre-  
796 ted differently. Their fuzzy natural integer is then modeled  
797 by a (monotonically decreasing) membership function from  
798 the natural integers to the unit interval. In order to make it  
799 clear that no uncertainty is involved, these authors suggest  
800 viewing such natural fuzzy integers as conjunctive sets (as  
801 opposed to possibility distributions, which are disjunctive  
802 sets whose elements are mutually exclusive, thus expres-  
803 sing a lack of knowledge). However fuzzy conjunctive sets  
804 are used to model fuzzy multivalued attributes Dubois and  
805 Prade (1988). We suggest that fuzzy cardinalities could as  
806 well be viewed as gradual integers, and are no sets at all.

These authors then define fuzzy relative integers [Rocacher and Bosc \(2003\)](#) as equivalence classes of pairs of fuzzy integers  $(\tilde{n}_1, \tilde{n}_2)$  such that  $\tilde{n}_1 + \tilde{z} = \tilde{n}_2$ . Fuzzy relative integers are no longer monotonic, nor membership functions, generally. In their paper, [Rocacher and Bosc \(2005\)](#) Rocacher and Bosc also build (what he calls) fuzzy rational numbers from fuzzy relative numbers in a similar way. This view is totally along the line discussed above. The idea of gradual set proposed here is just an extension of the definition of fuzzy sets in terms of  $\alpha$ -cuts, dropping the nestedness condition. This view was first systematically explored by [Negoita and Ralescu \(1975\)](#) quite early (see [Ralescu 1992](#) for more advanced considerations), and gradual sets were proposed by [Goetschel \(1997\)](#), under the name “fuzzy level sets”.

The idea that a fuzzy interval is a pair of fuzzy thresholds or profiles is akin to the so-called graded numbers of [Herencia and Lamata \(1999\)](#) and the fuzzy darts of [Goetschel \(1997\)](#). These authors also consider mappings from the unit interval to the real line, instead of the usual mapping from the reals to the unit interval. A fuzzy interval is then viewed as a pair of such mappings. However, our gradual reals are more general because they are not necessarily monotonic. In fact, the very technique for deriving closed-form formulas for fuzzy arithmetic operations on L–R fuzzy intervals (see [Dubois and Prade 1978, 1980](#)) does rely on the separate treatment of left and right-hand sides of fuzzy intervals, applying the operations on the corresponding fuzzy thresholds. This technique is generalized to fuzzy interval analysis in [Dubois et al. \(2004\)](#).

This view of fuzzy numbers as pairs of functions from the unit intervals to the real line, is also the basics of the recent proposal by [Kolesnik et al. \(2004\)](#) and [Kosinski et al. \(2005\)](#). However, these authors propose a calculus that moves away from the extension principle and interval analysis. Especially these authors consider it natural that the Abelian group structure be preserved for addition and multiplication of fuzzy intervals. Demanding that  $[a, b] - [a, b] = 0$  leads to define subtraction as  $[a, b] - [c, d] = [a - c, b - d]$ , with the anomaly that sometimes  $a - c > b - d$ . However, these authors argue that such entities make sense. Actually the extension of intervals to entities called generalized intervals  $[a, b]$  where  $a > b$  was proposed in the literature by [Kaucher \(1980\)](#), and they play some role in the solution of interval equations. In this vein, [Kolesnik et al. \(2004\)](#) and [Kosinski et al. \(2005\)](#) consider so-called “ordered fuzzy numbers” that are fuzzy intervals plus an orientation along the membership function from one end of the support to the other. They are viewed as pairs of oriented assignment functions. The calculations on ordered fuzzy numbers proposed by the Kosinski group combine assignment functions having the same orientation and provide results than can no longer be interpreted as fuzzy sets.

## 5 Conclusion

This paper introduces a new concept in fuzzy set theory, namely that of a gradual element. It seems that such a concept was missing in the theory. More exactly, this notion pervades parts of the fuzzy set literature without being given a proper name, as shown by the many examples provided above. Although of an abstract nature, we think that gradual elements are a crucial concept for understanding the nature of fuzziness introduced by Zadeh as concepts being a matter of degree, what can be named “graduality”, (and called “membership gradience” by [Lakoff \(1987\)](#)), thus giving up Booleanity, as opposed to the idea of partial or incomplete information. Since sets are used for representing incomplete knowledge, fuzzy sets often capture both ideas of graduality and partial information at the same time (as in possibility theory). This has created confusion between fuzziness and uncertainty, sometimes leading to debatable developments in the theory or the applications of fuzzy sets. The merit of gradual elements is that they only embody the idea of being a matter of degree. Some applications of this concept have been surveyed, especially the notion of fuzzy real number that can be instrumental for developing a genuine fuzzy interval analysis as well as sound defuzzification procedures. Other applications of the new concept to fuzzy cardinality are relevant for a better handling of quantifiers in fuzzy queries to databases.

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